# First Disbursement Request

## GENERAL GRANT INFORMATION

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| Country: | REPUBLIQUE CENTRAFRICAINE |
| Disease: | Malaria |
| Grant number: | **CAF-810-G08-M** |
| Principal Recipient: | COORDINATION NATIONALE DU COMITE NATIONAL DE LUTTE CO |
| Program Start Date: | 1er avril 2010 |
| Currency: | Euro |

## DISBURSEMENT REQUEST PERIOD

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|---|---|---|---|---|
| Disbursement Request - Disbursement Period: | Cycle: | Quarter | Number: | 1 |
| Disbursement Request - Period Covered: | Beginning Date: | 1-Apr-2010 | End Date: | 30-Jun-2010 |
| Disbursement Request - Number: | 1 | | | |

TERMS AND ACRONYMS USED IN THIS FIRST DISBURSEMENT REQUEST HAVE THE MEANING GIVEN TO THEM IN THE GRANT AGREEMENT RELATING TO THE ABOVE GRANT

## Section 1: Cash Request and Authorization

### A: CASH REQUEST

On behalf of the Principal Recipient, the undersigned hereby requests the Global Fund to disburse funds under the above-referenced Grant Agreement, for the first disbursement period plus one additional quarter (cash "buffer"):

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Period covered by disbursement request: | beginning date: | 1-Apr-2010 | end date: | 30-Jun-2010 | amount (in Euro): | 3,981,085.00 |
| 2. Additional quarter (cash "buffer"): | beginning date: | 1-Jul-2010 | end date: | 30-Sep-2010 | amount (in Euro): | 447,647.00 |

3. Cash amount requested from the Global Fund (in Euro): **4,428,732.00**

4. Amount requested in words (in Euro): Four millions four hundred twenty eight thousand seven hundred thirty two

5. Exchange Rate (used to translate local currency into Euro): 655.96

### B: AUTHORIZATION

The undersigned acknowledges that: (i) that funds disbursed in accordance with this request shall be deposited in the bank account specified in block 9 of the face sheet of the Grant Agreement unless otherwise specified herein and (ii) funds disbursed under the Grant Agreement shall be used in accordance with the Grant Agreement.

Signed on behalf of the Principal Recipient:
(signature of Authorized Designated Representative) _____

Name: Mme Yacinthe WODOBODE

Title: Coordonnateur National du CNLS

Date and Place: 16-Apr-10

**Bank Accout Details** (if different than the account details specified on block 9 of the face sheet of the Grant Agreement)

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| Owner of Bank Account: | |
| Account Title: | |
| Account number: | |
| Bank name: | |
| Bank address: | |
| Bank SWIFT Code: | |
| Bank Code: | |
| Routing instructions: | |

**Comments** (e.g. changes to PR's bank account details, "split disbursements" to the PR and third parties etc

## First Disbursement Request

DISBURSEMENT REQUEST PERIOD

| | | | | |
|---|---|---|---|---|
| Grant number: | CAF-810-G08-M | | | |
| Period Covered by Disbursement Request: | Beginning Date: | 1-Apr-2010 | End Date: | 30-Jun-2010 |
| Disbursement Request number: | 1 | | | |
| Currency: | Euro | | | |

## Section 2: Fulfillment of Conditions Precedent

PR COMMENTS ON THE FULFILLMENT OF CONDITIONS PRECEDENT AND/OR SPECIAL CONDITIONS UNDER THE GRANT AGREEMENT

| Conditions Precedent and/or other special conditions | Fulfilled? | PR Comments |
|---|---|---|
| **B. CONDITIONS PRECEDENT TO DISBURSEMENT**<br><br>**B.1 Conditions precedent to first disbursement**<br>a) The delivery by the Principal Recipient to the G.F. of a statement confirming the bank account into which the Grant funds will be disbursed as indicated in block 10 of the face sheet of this agreement; and | Yes | Document deja envoyé au Fonds Mondial |
| b) The delivery by the Principal Recipient of a letter signed by the Authorized Representative of the Principal Recipient setting forth the name, title and authenticated specimen signature of each person authorized to sign disbursement requests under Article 10 of the Standard Terms and Conditions of this Agreement and, in the event a disbursement request may be signed by more than one person, the conditions under which each may sign; and | Yes | Document deja envoyé au Fonds Mondial |
| c) the delivery by the Principal Recipient to the Global Fund of evidence that the Principal Recipient has retained the services of a qualified and experienced individual(s) providing technical assistance services in the areas of financial accounting and budgeting (the "interim fiduciary arrangements") under terms of reference acceptable to the Global Fund, which shall include training existing and newly hired staff on the financial accounting system used by the Principal Recipient.; and | Yes | Consultant Financier GMS en fonction depuis 08 MARS 2010 |
| d) the delivery by the Principal Recipient to the Global Fund of evidence that the Principal Recipient has hired in its Program management unit, under terms of reference acceptable in form and substance to the Global Fund, appropriately qualified and experienced individuals to the positions of:<br>i. Accountant;<br>ii. Assistant Accountant;<br>iii. Internal Auditor; and | Partially | Auditeur est en fonction au CN/CNLS , les deux autres postes la procedure du recrutement est en cours et la demande de non objection pour le budget de recrutement est envoyé au Fonds Mondial dont la reponse est attendue |
| e) the delivery by the Principal Recipient to the Global Fund of a Plan, in form and substance satisfactory to the Global Fund, regarding the scope and terms of reference for the audit of the Books and Records of the Principal Recipient and the Sub-recipients, in accordance with Articles 13 (b) and (d) of the Standard terms and Conditions of this Agreement. The Plan shall also include a procedure and timeframe for the selection of an Independent Auditor in accordance with Article 13 (c) of the Standard Terms and Conditions of this Agreement; | Yes | plan d'audit deja transmis au Fonds Mondial, le Cabinet d'audit a deja signé la lettre de marché , le demarrage des travaux est prévu pour le 20 Avril 2010 |
| f) the delivery by the Principal Recipient to the Global Fund of evidence, in form and substance satisfactory to the Global Fund, that an adequate business and financial procedures manual is in use by the Program management unit, allowing full compliance with the requirements of this Agreement and principles of accountability and good governance; | Yes | Le manuel de procedure mise en place prend en compte le programme palu round 8 et les autres programmes, toutefois il necessiste quelques améliorations |
| g) the delivery by the Principal Recipient to the Global Fund of documented assumptions for the Program budget, in form and substance satisfactory to the Global Fund, supporting :<br>i) the transport, insurance and distribution costs of Health Products, at the level of the Principal Recipient and of the National Pharmacy (UCM);<br>(ii) the Technical Assistance services, including the interim fiduciary arrangement and Fiduciary Agent costs<br>(iii) the administrative costs of the Principal; Recipient, including revenues from other sources; and | Yes | voir le budget du grant signé |
| h) The delivery by the Principal Recipient to the Global Fund of a revised budget for the Program Term (the "Revised Budget") if the clarifications listed in condition i. of this Sub-section B.1 result in amendments to the Program budget as approved at the time of the effective date of this Grant Agreement; and | No | |

| | | |
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| i) the written approval of the Global Fund of the Revised Budget, if condition h. of this sub-section B.1 is applicable. | Select | |
| **B.2 Conditions precedent to second disbursement**<br><br>a) the delivery by the Principal Recipient to the Global Fund of evidence, in form and substance satisfactory to the Global Fund, that the Principal Recipient has established a fixed asset register and has been complying with the Article 18 (d) of the Standard terms and Conditions of this Agreement. Such asset register shall be maintained and updated prior to submission of each Periodic Report; and | Select | |
| b) the delivery by the Principal Recipient to the Global Fund of evidence, in form and substance satisfactory to the Global Fund, that the Principal Recipient has retained the services of an Independent Auditor in compliance with Article 13 (c) of the Standard Terms and Conditions of this Agreement and in accordance with the Plan delivered by the Principal Recipient in fulfilling condition e. of sub-section B.1 of this Annex A. | Select | |
| c) the delivery by the Principal Recipient to the Global Fund of evidence that the Principal Recipient has hired in its Program management unit, under terms of reference acceptable in form and substance to the Global Fund, appropriately qualified and experienced individuals to the position of Finance Director; and | Select | |
| d) the delivery by the Principal Recipient to the Global Fund of evidence, in form and substance acceptable to the Global Fund, that all staff in the Program management unit of the Principal Recipient have been adequately trained in the use of and, are systematically using, the financial accounting system used by the Principal Recipient; | Select | |
| **B.3 Conditions precedent to use of Grant funds for Technical Assistance**<br><br>a) the delivery by the Principal Recipient to the Global Fund of a detailed national technical assistance plan and budget, including programmatic management and Financial Management Systems strengthening and identifying which parts of this plan are to be funded by Grant funds (the "detailed TA plan and budget"); and | Select | |
| b) the written approval by the Global Fund of the detailed TA plan and budget. | Select | |
| **B.4 Conditions precedent to disbursement for procurement of Health Products**<br><br>a) the delivery by the Principal Recipient to the Global Fund of a plan for the procurement, use and supply management of the Health Products for the Program as described in subsection (b) of Article 19 of the Standard Terms and Conditions of this Agreement (the "PSM Plan"); and | Select | |
| b) the delivery by the Principal Recipient to the Global Fund of a revised Performance Framework for the Program Term (the "Revised Performance Framework") if the amendments incorporated into the PSM Plan necessitate amendments to the Performance Framework for the Program Term that was approved by the Global Fund as of the effective date of this Agreement; | Select | |
| c) the delivery by the Principal Recipient to the Global Fund of a revised budget for the Program Term (the "Revised PSM Budget") if the amendments incorporated into the PSM Plan necessitate amendments to the budget for the Program Term that was approved by the Global Fund as of the effective date of this Agreement; and | Select | |
| d) the written approval of the Global Fund of the PSM Plan and Revised Performance Framework and Revised PSM Budget (the latter two only being applicable if conditions b. and c. of this sub-section B.4 are applicable) | Select | |
| **B.5 Conditions precedent to use of Grant funds by Sub-recipients**<br><br>a) the delivery by the Principal Recipient to the Global Fund, in form and substance acceptable to the Global Fund, of a Sub-recipient Management Plan. Such a plan shall in particular include details on the following aspects of the Principal Recipient's management of Sub-recipients:<br>i- a detailed plan for ensuring full compliance with article 14 of the Standard Terms and Conditions of this Agreement, notably with regards<br>a. to the assessment, confirmation of selection of Sub-recipients and negotiation of Sub-recipient agreements;<br>b. to the identification of gaps or weakness in Sub-recipient capacity and related mitigating measures, and monitoring of ongoing performance; and<br>c. to the programmatic and financial oversight of Sub-recipients, including reporting timelines and performance frameworks for Sub-recipients;<br><br>ii- procedures to process disbursement request from Sub-recipients in an efficient and transparent manner; and<br><br>iii- procedures for the coordination of the work of Sub-recipients, avoiding duplication of efforts and exhaustive programmatic coverage; and | Select | |

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| b) the delivery by the Principal Recipient to the Global Fund of evidence, in form and substance satisfactory to the Global Fund, that Sub-recipient agreements, acceptable in form and substance to the Global Fund, have been signed with each of the Sub-recipients in accordance with Article 14 (c) of the Standard Terms and Conditions of this Agreement. | Select | |
| **C. SPECIAL TERMS AND CONDITIONS**<br><br>**C.1 No later than 1 July 2010, the Principal Recipient shall submit, in form and substance satisfactory to the Global Fund:**<br><br>a) evidence that the Principal Recipient has replaced the interim fiduciary arrangements by retaining the services of a recognized fiduciary management firm (the "Fiduciary Agent"), under terms of reference acceptable in form and substance to the Global Fund; and | Select | |
| b) a plan detailing the steps required for the Principal Recipient to be able to assume the full responsibilities of financial and budgetary management of the program without the assistance of a Fiduciary Agent. | Select | |
| **C.2** The Principal Recipient undertakes to collaborate with the CCM in assisting to build the capacity of designated organizations, with the aim of ensuring that other specialized entities achieve the required capacity to assume the role of principal recipient for the Global Fund, allowing them to take over implementation of all or part of the Program in the future. | Select | |
| **C.3** The Principal Recipient understands and acknowledges the terms of Article 23 of the Standard Terms of this Agreement. The Principal Recipient undertakes to fully cooperate in the process of having any New Principal Recipients implement all or part of the Program in the future. | Select | |
| **C.4 The disbursement by the Global Fund and use of funds by the Principal Recipient for incentives, salary top-ups or other performance bonuses payable to key staff members working on the Program shall be subject to the satisfaction of each of the following condition(s):**<br><br>a) delivery by the Principal Recipient to the Global Fund of its incentive scheme, in form and substance satisfactory to the Global Fund, demonstrating the link between the incentives and Program performance, identifying the staff members eligible for such incentives, demonstrating that there is no duplication of salary and/or incentives paid from Grant funds to any individual and demonstrating that the scheme is in line with the practice followed by other donors in the Country; and | Select | |
| b) the delivery by the Principal Recipient to the Global Fund of written endorsement by the Country Coordinating Mechanism (CCM) of the proposed incentive scheme; | Select | |
| c) the delivery by the Principal Recipient to the Global Fund of a revised budget for the Program Term (the "Revised Incentive Budget"), if incentive scheme necessitates amendments to the budget for the Program Term that was approved by the Global Fund as of the effective date of this Agreement; and | Select | |
| d) the written approval of the Global Fund of the Revised Incentive Budget (the latter only being applicable if condition c. of this sub-section C.4 is applicable). | Select | |