

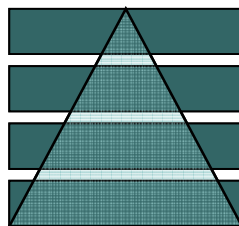


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The Global Fund

To Fight AIDS, Tuberculosis and Malaria

Technical Evaluation Reference Group



Assessment of Country Coordinating Mechanisms: Performance Baseline Results Tables

December 2005

The Technical Evaluation Reference Group (TERG) is an advisory body providing independent assessment and advice to the Board of The Global Fund to Fight AIDS, Tuberculosis & Malaria on issues which it determines require Board attention. The Board also directs the TERG to examine specific programmatic aspects of the Fund, as appropriate. The TERG advises the Global Fund Secretariat on evaluation approaches and practices, independence, reporting procedures and other technical and managerial aspects of monitoring and evaluation at all levels.

Members of the TERG are nominated and confirmed by the Board of the Global Fund. Membership of the TERG is drawn from a range of stakeholders, including practitioners, research institutions, academics, donor and recipient countries, and non-governmental organizations. Members of the Technical Evaluation Reference Group are listed in Annex A.

Data reported is based on an assessment implemented externally by the Futures Group working under contract to the Global Fund.

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1. Composition and Representation

Sector	% of CCMs that report and document specific constituency representation																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average %	
Education/academic	5	56%	14	82%	12	63%	4	80%	5	100%	7	88%	4	67%	12	86%	63	76%
Government	5	56%	15	88%	16	84%	5	100%	5	100%	7	88%	4	67%	9	64%	66	80%
NGO/community-based organizations	5	56%	15	88%	16	84%	5	100%	5	100%	7	88%	4	67%	7	50%	64	77%
People living with/affected by	6	67%	12	71%	16	84%	3	60%	4	80%	6	75%	4	67%	8	57%	59	71%
Private sector	5	56%	5	29%	8	42%	3	60%	5	100%	7	88%	4	67%	8	57%	45	54%
Religious/faith-based	3	33%	5	29%	9	47%	3	60%	4	80%	6	75%	3	50%	7	50%	40	48%
Multilateral and bilateral development partners	5	56%	12	71%	16	84%	4	80%	5	100%	7	88%	4	67%	8	57%	61	73%
Percent of CCMs with all sectors represented	3	33%	1	6%	3	16%	2	40%	3	60%	5	63%	3	50%	7	50%	27	33%
Number reporting	9		17		19		5		5		8		6		14		83	

Table 1.2 Representation of women and non-governmental sectors on Country Coordinating Mechanisms, by region (Item 3-4)									
Sector	East Asia and the Pacific (%)	Eastern Europe and Central Asia (%)	Latin America and the Caribbean (%)	North Africa and the Middle East (%)	South Asia (%)	East Africa (%)	Southern Africa (%)	West and Central Africa (%)	N and Average % (%)
Percent of all CCM members who are:									
Women	31%	37%	45%	28%	23%	18%	32%	25%	30%
Non-governmental	46%	38%	56%	50%	48%	47%	54%	60%	50%
Percent of CCMs with at least 40 % of members from non-governmental sector	67%	53%	79%	100%	60%	38%	50%	86%	67%
Number reporting	9	17	19	5	5	8	6	14	83

Table 1.3 Country Coordinating Mechanisms, by region, that report non-governmental member selection through documented, transparent process (Item 5a-e)

	% of CCMs that report and document NGO member selection by constituency																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Education/academic	4	80%	5	36%	9	75%	1	25%	1	20%	1	14%	2	50%	9	75%	32	51%
NGO/community-based organizations	3	60%	6	40%	10	63%	1	20%	1	20%	2	29%	2	50%	7	100%	32	50%
People living with/affected by	2	33%	5	42%	12	75%	0	0%	1	25%	5	83%	2	50%	6	75%	33	59%
Private sector	2	40%	3	60%	4	50%	0	0%	1	20%	2	29%	2	50%	6	75%	20	48%
Religious/faith-based	2	67%	2	40%	3	33%	1	33%	1	25%	3	50%	2	67%	7	100%	21	53%
Percent of CCMs with all NGO constituencies reporting a documented, transparent selection process	1	11%	1	6%	2	11%	0	0%	1	20%	1	13%	2	33%	5	36%	13	16%
Weighted average proportion of NGO constituencies with a documented, transparent selection process	60%		42%		66%		26%		22%		53%		53%		85%		52%	
Number reporting	9		17		19		5		5		8		6		14		83	

Note: Percentages are based on the number of CCMs reporting and documenting representation for that constituency

Table 1.4 Country Coordinating Mechanisms, by region, that report and document state/province/districts representation (Item 7)																		
	% of CCMs that report and document representation from state/provinces/districts																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Percent of CCMs with state/province/district representation:	1	11%	8	47%	7	37%	3	60%	4	80%	2	25%	2	33%	1	7%	28	34%
Number reporting	9		17		19		5		5		8		6		14		83	

	% of CCMs that report and document that the following constituencies are represented at the highest-levels																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Education/academic	4	80%	12	86%	8	67%	3	75%	4	80%	3	43%	3	75%	8	67%	45	54%
Government	5	100%	15	100%	14	88%	4	80%	5	100%	5	71%	4	100%	7	78%	59	71%
NGO/community-based organizations	4	80%	13	87%	11	69%	4	80%	4	80%	4	57%	3	75%	7	100%	50	60%
People living with/affected by	3	50%	9	75%	13	81%	2	67%	4	100%	3	50%	4	100%	7	88%	45	54%
Private sector	4	80%	4	80%	6	75%	1	33%	3	60%	4	57%	4	100%	7	88%	33	40%
Religious/faith-based	2	67%	5	100%	6	67%	2	67%	3	75%	4	67%	3	100%	7	100%	32	39%
Multilateral and bilateral development partners	5	100%	11	92%	15	94%	4	100%	4	80%	4	57%	4	100%	8	100%	55	66%
Percent of CCMs with all constituencies represented at the highest levels	0	0%	1	6%	2	11%	1	20%	2	40%	2	25%	3	50%	5	36%	16	19%
Weighted average proportion of constituencies represented at the highest levels	83%		89%		80%		78%		84%		59%		94%		88%		57%	
Number reporting	9		17		19		5		5		8		6		14		83	

Note: Percentages are based on the number of CCMs reporting and documenting representation for that constituency

Table 1.6 Country Coordinating Mechanisms, by region, that report and document, regular attendance at CCM meetings by senior officers, by constituency (Item 14a-g)

Sector	% of CCMs that report and document that senior officers have regularly attended CCM meetings¹																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Education/academic	5	100%	12	86%	12	100%	2	50%	5	100%	4	57%	3	75%	8	67%	51	61%
Government	5	100%	11	73%	13	81%	3	60%	5	100%	5	71%	4	100%	9	100%	55	66%
NGO/community-based organizations	5	100%	10	67%	14	88%	3	60%	5	100%	5	71%	3	75%	6	86%	51	61%
People living with/affected by	4	67%	7	58%	14	88%	0	0%	4	100%	4	67%	4	100%	8	100%	45	54%
Private sector	3	60%	2	40%	4	50%	1	33%	4	80%	4	57%	4	100%	6	75%	28	34%
Religious/faith-based	2	67%	3	60%	6	67%	0	0%	4	100%	4	67%	3	100%	6	86%	28	34%
Multilateral and bilateral development partners	5	100%	11	92%	14	88%	3	75%	5	100%	5	71%	4	100%	7	88%	54	65%
Percent of CCMs with <i>all</i> constituencies documenting regular attendance by senior officers	2	22%	0	0%	2	11%	0	0%	3	60%	3	38%	3	50%	6	43%	19	23%
Weighted average proportion of constituencies documenting regular attendance by senior officers	89%		75%		85%		60%		98%		67%		94%		86%		57%	
Number reporting	9		17		19		5		5		8		6		14		83	

Note: Percentages are based on the number of CCMs reporting and documenting representation for that constituency

¹ Regular attendance was defined as attendance at more than half of meetings in the past 12 months.

2. Participation and Communication

Table 2.1 Publicly available information on CCM members, by region (Item 10a-b)																		
% of CCMs that report and document that a list of CCM members has been:																		
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Made public within the country	3	33%	9	53%	7	37%	4	80%	2	40%	4	50%	3	50%	4	29%	36	43%
Submitted to the Global Fund Secretariat	4	44%	11	65%	14	74%	3	60%	2	40%	6	75%	4	67%	5	36%	49	59%
Number reporting	9		17		19		5		5		8		6		14		83	

Table 2.2 Frequency of Country Coordinating Mechanism meetings, by region (Item 16)

Sector	% of CCMs that report and document meetings with following frequency:																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Once per year	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%
Up to twice per year	0	0%	4	24%	0	0%	1	20%	1	20%	1	13%	0	0%	0	0%	7	8%
Up to four times per year	4	44%	6	35%	2	11%	1	20%	4	80%	0	0%	2	33%	4	29%	23	28%
More than four times per year	5	56%	6	35%	13	68%	3	60%	0	0%	5	63%	4	67%	9	64%	45	54%
Number reporting	9		17		19		5		5		8		6		14		83	

Table 2.3 Availability of key documents within Country Coordinating Mechanism, by region (Item 18)

		% of CCMs that report and document that all members have access to the following key documents																
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Minutes of meetings	4	44%	5	29%	11	58%	2	40%	2	40%	1	13%	3	50%	5	36%	33	40%
Principal Recipient disbursement report	4	44%	5	29%	9	47%	2	40%	2	40%	0	0%	2	33%	3	21%	27	33%
Disbursement decisions	3	33%	5	29%	7	37%	2	40%	2	40%	1	13%	2	33%	2	14%	24	29%
Number reporting	9		17		19		5		5		8		6		14		83	

	% of CCMs that report and document a consultation process within NGO constituencies																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Education/academic	2	40%	2	14%	6	50%	0	0%	1	20%	0	0%	1	25%	1	8%	13	16%
NGO/community-based organizations	2	40%	6	40%	9	56%	0	0%	1	20%	1	14%	1	25%	2	29%	22	27%
People living with/affected by	1	17%	4	33%	8	50%	0	0%	1	25%	3	50%	1	25%	3	38%	21	25%
Private sector	1	20%	0	0%	1	13%	0	0%	1	20%	2	29%	1	25%	1	13%	7	8%
Religious/faith-based	2	67%	1	20%	4	44%	0	0%	1	25%	0	0%	1	33%	1	14%	10	12%
Percent of CCMs with all NGO constituencies documenting a consultative process	0	0%	0	0%	0	0%	0	0%	1	20%	0	0%	1	17%	1	7%	3	4%
Weighted average proportion of constituencies documenting a consultative process	41%		32%		50%		0%		22%		37%		27%		26%		21%	
Number reporting	9		17		19		5		5		8		6		14		83	

Note: Percentages are based on the number of CCMs reporting and documenting representation for that constituency

	% of CCMs that report and document the availability of relevant information to all interested parties																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Calls for proposals	5	56%	9	53%	13	68%	2	40%	4	80%	5	63%	4	67%	4	29%	46	55%
Decisions taken by CCM	5	56%	8	47%	9	47%	1	20%	3	60%	4	50%	4	67%	4	29%	38	46%
Information on approved proposals	5	56%	8	47%	10	53%	1	20%	2	40%	5	63%	3	50%	5	36%	39	47%
Number reporting	9		17		19		5		5		8		6		14		83	

3. Governance and Management

Table 3.1 Governance of Country Coordinating Mechanism, Chair, Vice-Chair and Principal Recipient (Item 27, 29, 30)																		
	% of CCMs that report and document that:																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
	Chair and Vice-Chair are from different constituencies	4	44%	9	53%	11	58%	2	40%	1	20%	3	38%	3	50%	6	43%	39
Principal Recipient is from the same entity/group as Chair or Vice-Chair	3	33%	8	47%	4	21%	3	60%	4	80%	5	63%	3	50%	5	36%	35	42%
% of CCMs with Chair or Vice-Chair and the Principal Recipient from the same entity which have a written plan to mitigate against inherent conflict of interest	0	0%	3	38%	0	0%	1	33%	1	25%	1	20%	1	33%	1	20%	8	23%
Number reporting	9		17		19		5		5		8		6		14		83	

Sector	% of CCMs that report and document specific operating procedures available in written terms of reference																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
CCMs with written terms of reference/bylaws/operating procedures	4	44%	9	53%	12	63%	2	40%	2	40%	4	50%	2	33%	8	57%	43	52%
Procedure for selection of Chair/Vice-Chair	3	75%	4	44%	11	92%	2	100%	1	50%	4	100%	1	50%	6	75%	32	74%
Mechanism for decision-making	4	100%	7	78%	12	100%	2	100%	1	50%	4	100%	1	50%	6	75%	37	86%
Defined roles and responsibilities vis-à-vis other relevant coordinating bodies	4	100%	5	56%	10	83%	2	100%	1	50%	4	100%	2	100%	5	63%	33	77%
Conflict of interest policy	3	75%	2	22%	8	67%	2	100%	0	0%	3	75%	1	50%	3	38%	22	51%
Equal voting rights of all members/consituencies	4	100%	6	67%	11	92%	2	100%	1	50%	4	100%	1	50%	4	50%	33	77%
Guidelines for ethical behavior	2	50%	3	33%	4	33%	2	100%	1	50%	2	50%	1	50%	2	25%	17	40%
Percent of CCMs that report and document all operating procedures	2	50%	1	11%	4	33%	2	100%	0	0%	1	25%	1	50%	2	25%	13	30%
Number reporting	9		17		19		5		5		8		6		14		83	

Note: Percentages are based on the number of CCMs with written terms of reference/bylaws/operating procedures

Table 3.3 Country Coordinating Mechanisms and documented transparent processes for proposal development, PR nomination and program oversight (Item 36)

Sector	% of CCMs that report and document transparent processes for the following:																	
	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Solicit and review submissions for possible integration into the proposal	5	56%	7	41%	11	58%	2	40%	4	80%	5	63%	4	67%	10	71%	48	58%
Nominate the Principal Recipient	4	44%	8	47%	13	68%	2	40%	1	20%	5	63%	3	50%	10	71%	46	55%
Oversee program implementation	4	44%	7	41%	11	58%	2	40%	1	20%	5	63%	4	67%	10	71%	44	53%
Percent of CCMs that report and document transparent processes to nominate the PR and oversee program implementation	3	33%	5	29%	11	58%	2	40%	1	20%	4	50%	3	50%	9	64%	38	46%
Number reporting	9		17		19		5		5		8		6		14		83	

	% of CCMs that report and document a transparent process to ensure the input of a broad range of stakeholders into the following:																	
Sector	East Asia and the Pacific (#/%)		Eastern Europe and Central Asia (#/%)		Latin America and the Caribbean (#/%)		North Africa and the Middle East (#/%)		South Asia (#/%)		East Africa (#/%)		Southern Africa (#/%)		West and Central Africa (#/%)		N and Average (#/%)	
Inclusion of CCM members in proposal development	6	67%	11	65%	12	63%	4	80%	4	80%	5	63%	5	67%	10	71%	57	69%
Inclusion of non-CCM members in proposal development	6	67%	7	41%	6	32%	4	80%	3	60%	2	25%	5	67%	7	50%	40	48%
Inclusion of CCM members in oversight process	4	44%	9	53%	11	58%	3	60%	4	80%	4	50%	5	67%	9	64%	49	59%
Inclusion of non-CCM members in oversight process	3	33%	7	41%	3	16%	2	40%	2	40%	2	25%	4	33%	5	36%	28	34%
Percentage of CCMs that report transparent process to garner input from stakeholders in both proposal development and oversight	3	33%	6	35%	3	16%	2	40%	2	40%	1	13%	4	67%	5	36%	26	31%
Number reporting	9		17		19		5		5		8		6		14		83	