
Grant Rating Tool for NFM Grants in Excel

Introduction

This document provides guidance on using the Grant Rating Tool for NFM grants in the Excel document. The tool is based on the Global Fund's Grant Performance Rating and Disbursement Decision-making Methodology relevant to NFM grants. For the description of the methodology, please refer to the [Operational Policy Note on Annual Funding Decisions and Disbursements](#).

How to Use the Excel Tool

A- Coverage Indicators¹

Data entry

Please follow the following rules to ensure the calculation is done correctly:

1. Start entering the data from the row D5. The indicator name is mandatory and should be completed. If some information is missing, the tool will not work.
2. Then indicate (Yes/No) whether the indicator is a reverse indicator.
3. For values defined in Numerator/Denominator (N/D) a value should be entered both for Target and Result to calculate the indicator performance rate.
4. For values defined in percentage, a percentage should be entered using the %. The tool will automatically convert it into a value.
5. If no achievement was recorded for an indicator for the assessed period, please enter 0 against the result of the assessed period.
6. Indicators that are listed in the PU/DR but that are not due in the current period, should not be part of the indicators listed in the tool.

Calculation and Result

The calculation is fully automated. The Calculation table and the Matrix attached display the intermediary calculation steps. See the tool-generated rating in 'Final Quantitative Rating' field.

Important points for information

1. Performance (%) for individual indicators is capped at 120% (to avoid over-performing indicators skewing the mean disproportionately).
2. The rating is capped at B1 if at least one indicator has 60% or less achievement.

B- Work Plan Tracking Measures

Please follow the following rules to ensure the calculation is done correctly:

7. Start entering the data from the row D5. The key activities field is mandatory and should be completed. If some information is missing, the tool will not work.
8. After entering the Key Activity, the value field will pre-populate automatically and will always be 3.
9. For the result field, the LFA needs to choose the progress status from the drop-down menu "Not Started, Started, Advancing, Completed, which are defined as below:

¹ Unlike the Rounds-Based Model, all coverage indicators under NFM grants are weighted equally. The indicators are no longer categorized as top 10, top-10 equivalent, non-top 10 or training indicators.

Implementation progress during the reporting period	Category
No progress against planned milestone or target	Not started
Less than 50% completion of the milestone or target	Started
50% or more completion of planned milestone or target	Advancing
100% achievement of planned milestone or target	Completed

10. Achievement against each work-plan tracking measure (milestones and targets) will be graded on a scale from 0 to 3:

Category	Score
Not started	0
Started	1
Advancing	2
Completed	3

11. At each reporting period, depending on the progress in implementation of various activities, respective score will be allotted to each measure.
12. Based on reported progress, the average of all scores during the reporting period will be calculated to obtain the default rating.

Percentage achievement during the reporting period (Total score/Maximum score)	Default WPTM rating
100% or above	a1
90-99%	a2
60-89%	b1
30-59%	b2
<30%	c

13. The default rating determines the indicative funding range. The indicative funding ranges for each Indicator Rating, before other factors are taken into consideration, are as follows:

Default WPTM Rating	Cumulative Budget Amount (including current funding request)
a1	Between 90-100% of Cumulative Budget through the next reporting period
a2	
b1	Between 60-89% of Cumulative Budget through the next reporting period
b2	Between 30-59% of Cumulative Budget through the next reporting period
c	To be discussed individually