Operations Update

Highlights on progress made by the Operations Team since the June Board meeting

18 – 19 November 2004
Arusha, Tanzania
Grant Life Cycle

• Core of all operations activities

• Basis for our operational manual through which grant management procedures are being standardized

• Basis for development of an Early Warning System to trigger timely technical collaboration;

• Process through which quality assurance is assessed and ensured

• Basis for establishing core indicators and measuring results in line with GF performance based funding approach
A suite of grant performance tools has been developed to support performance based funding and transparent phase 2 decision processes.
Proposal management

Proposal guidelines
- Guidelines revised in harmony with Board Committee recommendation;
- Proposal form revised accordingly, and a more user friendly format developed based on key stakeholders feedback

Round 4 clarifications
- 59 components (out of 69) already cleared.

Technical support
- Partners like UNAIDS, WHO, Stop TB and RBM engaged to assist countries with TRP clarifications
- Key technical partners are preparing to assist countries to develop proposals for the next Round.
Proposal management

Strengthening Country Coordinating Mechanisms

• CCM measurement framework developed by TERG and will be field tested in 120 CCMs
• CCM toolkit to clarify roles and responsibilities of CCM being developed and will be disseminated
• Further clarification and support to build on existing mechanisms and minimize transaction costs (through CCM workshops and study tours to well functioning CCMs).
CCM Standards and Measurement

- CCM guidelines served as the basis
- Dimensions of Measurement
  - Composition and Representation
  - Participation and Communication
  - Governance and Management (including alignment and harmonization)
- Development of a Performance Checklist for CCM audits and self assessments (presented to GPC)
- Indicators to track requirements already included in CCM Request for Continued Funding and Global Measurement framework
- Full Implementation in 2005
Quality Assurance and due diligence

- Clear performance targets now linked to each new grant to ensure a consistent performance measurement system;
- Consistent use of M&E toolkit, contributing to harmonization;
- Risk analysis framework for managing risks before they become problems and to tailor grants to country contexts.

Increased Technical Support

- UNAIDS country coordinators actively engaged in CCMs and providing support (e.g. Guinea, Togo and Malawi);
- GFO and ICASO providing didactical guidance on steps towards grant signing;
- Donor country teams providing support (e.g. DFID, NORAD, USAID, French Cooperation...etc.)
Components of a risk management framework

• Country characteristics
  – Off-the-shelf country risk rating (looking at political, economic, and financial risk factors)

• Grant/Principal Recipient characteristics
  – Size of grant
  – Current and past performance
  – PR characteristics
  – Harmonization (e.g., presence of a sector-wide approach)
  – Structure of grant (e.g., number of sub-recipients)
Schematic for risk model

- Country risk
- Grant/PR risk

Situation rating (high/medium/low, etc.)

Differential response
• 300 grants signed in 128 countries (As of 18 Nov.)

• 67 out 69 R1 grants and 96 out of 100 R2 grants signed;

• By the October 15 deadline 63 R3 grants have been signed;

• Additional safeguards have been applied to 10 grants; negotiations to be finalized by the 15 January 2005 deadline;

• 3 R4 grants have been signed so far
As of 18 November, USD 669 Mill. disbursed;

Increased diversity in implementers
• NGOs as PRs in Zambia, Cote d’Ivoire, Russia and India;
• Private sector and academic institutions as implementers and technical support providers in Rwanda and Malawi.

Managing constraints
• Underperforming grants the focus of secretariat efforts
• Human resource constraints - Malawi, Liberia, Mauritania…
• Flow of funds to sub-recipients- South Africa, Argentina, Uganda;
• Working within a Swaps context – Mozambique, Malawi
Broadening the technical collaboration base

• MOUs with UNAIDS and RBM; Stop TB under way. LOG with ESTHER; collaboration with US President’s Emergency Plan for AIDS Relief will be strengthened.

• WHO deploying staff in the field (support to PSM and treatment);

• Collaboration with UNAIDS and other donors to support the “3 ones” principle at global and country levels will be strengthened;

• Malaria reprogramming with partners like UNICEF, CDC, USAID and the RBM partnership.

• Co-investment schemes with GBC, WEF members under devpt.

• Involvement of Clinton Foundation, Merck Support Group, Johnson & Johnson, NGOs and Academia
Progress

Performance-based Funding

Malaria reprogramming

• Secretariat working with UNICEF and WHO to consolidate the quantification of the demand for ACT

• Negotiating with other partners to better forecast and find ways to manage the current shortage of artesunate

• Collaboration with RBM to assess technical support needs for reprogramming and rolling out of ACT

• USD 90 million was approved by the Board for reprogramming malaria treatment, USD 65 million has already been committed to 15 out of 20 countries.
Phase 2 renewal

- Invitations and grant performance reports sent to 31 CCMs
- Portfolio management reviewing indicators with PRs to ensure a solid basis for Phase 2 renewal
- Board guidelines on phase 2 renewals being followed closely to ensure accountability
- Tools and guidelines developed to ensure the process is standardized and made an integral part of grant management
Grant Performance Reports have been developed to support PBF

<table>
<thead>
<tr>
<th>Program Objectives, Service Delivery Areas (SDAs), Indicators, Targets and Results</th>
<th>Period</th>
<th>Target</th>
<th>Actual</th>
<th>Percent of Target</th>
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<tbody>
<tr>
<td>Objective 1</td>
<td>To reduce the risk of HIV/AIDS infection</td>
<td>Service Delivery Area 1</td>
<td>Blood safety, universal precautions</td>
<td>Indicator 1</td>
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<td>Service Delivery Area 2</td>
<td>Condom distribution</td>
<td>Indicator 2</td>
<td>Number of condoms sold (millions)</td>
<td>Q4</td>
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<td>Indicator 3</td>
<td>Number of condoms distributed</td>
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<td>Service Delivery Area 5</td>
<td>Prevention of mother-to-child transmission of HIV (PMTCT)</td>
<td>Indicator 4</td>
<td>Number of pregnant women tested for HIV</td>
<td>Q4</td>
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<td>Indicator 5</td>
<td>Number of HIV positive women enrolled in PMTCT program</td>
<td>Q4</td>
<td>573</td>
<td>290</td>
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<td>Service Delivery Area 6</td>
<td>Treatment of STIs</td>
<td>Indicator 6</td>
<td>Number of people treated for STIs</td>
<td>Q4</td>
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<td>Objective 2</td>
<td>To reduce the vulnerability to HIV/AIDS</td>
<td>Service Delivery Area 5</td>
<td>Voluntary Counselling and Testing</td>
<td>Indicator 7</td>
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<td>Indicator 8</td>
<td>Number of non-pregnant women treated for HIV</td>
<td>Q4</td>
<td>23,009</td>
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<tr>
<td>Objective 3</td>
<td>To deliver community based services including prevention, impact mitigation and treatment</td>
<td>Service Delivery Area 6</td>
<td>Antiretroviral Treatment and Monitoring</td>
<td>Indicator 9</td>
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<td>Indicator 10</td>
<td>Number of institutions offering ART</td>
<td>Q4</td>
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<td>Service Delivery Area 7</td>
<td>Support to the chronically ill</td>
<td>Indicator 11</td>
<td>Number of individuals receiving food rations/week</td>
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<td>Indicator 12</td>
<td>Number of home visits</td>
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<td>Service Delivery Area 8</td>
<td>Prephylaxis and treatment of opportunistic infections</td>
<td>Indicator 13</td>
<td>Number of PLWHA receiving medical care</td>
<td>Q4</td>
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<td>Indicator 14</td>
<td>Number of institutions offering medical care to PLWHA</td>
<td>Q4</td>
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**HAITI**

**Grant Performance Report**

**HTI-102.001-11-00**

**October 2004**

**Ninth Global Fund Board I**

18 – 19 November 2004

**Arusha, Tanzania**
In conclusion

- Learning from experience and feedback from partners, and applying lessons learned;
- No “one size fits all”;
- LFA assessments tailored to country situation;
- Risk based management approach; team work;
- From Ad hoc to system
- Progress, but room for improvement;
- Doing it… together!