

Status on Reform Agenda deliverables not included in the CTP

Reform Area	Deliverables	Status
1. Enhanced fiduciary control & risk-management		
Fraud Prevention - Minimum standards	1.7-Enhanced and documented quality requirements and demonstration of value for money for program budgets	Planning in progress – to be included into CTP in later iterations
Fraud Prevention - Role of CCMs and Partners	1.11-Strengthened guidance to CCMs and in-country partners on role in oversight and fraud prevention	Planning in progress – to be included into CTP in later iterations
Fraud Detection	1.15-Development of procedures and communications protocol, and incentives for PRs/CCMs/SRs to disclose financial irregularities to the GF, which also outline the GF and country response options	Planning in progress – to be included into CTP in later iterations
Fraud Response CTIG	1.18-Fulfillment of Global Fund's responsibilities within a Joint inter-agency plan to counteract theft of drugs and other life-saving commodities	Planning in progress – to be included into CTP in later iterations
Fraud Response CTIG	1.19-Increased use of VPP based on country/PR risk context	Planning in progress – to be included into CTP in later iterations
Fraud Response CTIG	1.20-Documentation and archiving: Strict application of timely reimbursement for all undocumented transactions. PRs to be informed that undocumented transactions are ineligible for GF grants and must reimburse to grant bank accounts within 30 days of GF notification ⁽¹⁾	Cancelled or superseded by new project/action
2011:	1.26-Integrate LFA processes and information into the Secretariat's IT business platform to improve the efficiency of using information from LFAs (2012)	Planning in progress – to be included into CTP in later iterations
2. Improved resource allocation & increased value for money		
Grant lifecycle-wide Value for Money framework	2.2-Secretariat paper on enhancing value for money throughout the grant lifecycle [GF/B23/DP9 4] Annual report on VFM to PIC (including quantification of savings and additional coverage) Analysis on reprogramming for value for money. Guidance on use of reprogramming for improved value for money.	In progress as a previously existing project
Counterpart financing	2.5-Counterpart financing guidelines developed and implemented for Round 11 and Phase 2 grant reviews and Periodic Reviews	In progress as a previously existing project
Reprogramming for cost-effective interventions	2.6-Options for the enhanced use of reprogramming within countries to ensure increased use of cost-effective interventions to maximize health impact presented to [XXX Committee] - (GF/B23/DP9 - iv)	Planning in progress – to be included into CTP in later iterations
Affordable Medicines Facility - Malaria (Strategic Initiative in Pilot stage)	2.7-Completed AMFm finance mechanism pilot	In progress as a previously existing project
Affordable Medicines Facility - Malaria (Strategic Initiative in Pilot stage)	2.8-Report of an independent evaluation of the pilot to inform a Board Decision on the future of the AMFm	In progress as a previously existing project
Strengthened procurement information of pharmaceutical and health products	2.9-Introduce a new integrated system to track procurement information through proposals, country profiles, PSM plan and PQR	In progress as a previously existing project
Strengthened procurement information of pharmaceutical and health products	2.10-Use new IT solution for PQR to enable PRs to make regional/income level price comparisons of key health products to be used to reduce prices in-line with international reference prices Propose reference prices to establish maximum levels of GF payments for appropriate products (MDC oversight) [GF/B23DP9 3.ii]	In progress as a previously existing project
Strengthened quality assurance for health products	2.11-At Phase 2 grant renewals, assess levels of compliance with QA policies for pharmaceutical and health products and propose implementation/corrective actions and guidance	Planning in progress – to be included into CTP in later iterations
Voluntary Pooled Procurement	2.12-Appropriate measures to fully implement voluntary pooled procurement and execute recommendations of the MDC [GF/B23/DP9 3.i] MDC to propose expanded uses for its "toolkit" of interventions (with recommendations for the 24thBM) [GF/B23/DP9 1] Use study commissioned by MDC to implement strategic interventions on procurement of first-line, second-line and pediatric ARVs to further impact on Market dynamics [GF/B23/DP21]	Planning in progress – to be included into CTP in later iterations

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Capacity Building Services	2.13-Appropriate measures to fully implement voluntary pooled procurement and execute recommendations of the MDC [GF/B23/DP9 3.i]: Strengthen capacity building activities to adequately address countries' procurement and supply management bottlenecks	Planning in progress – to be included into CTP in later iterations
Capacity Building Services	2.14-Introduce a systematic process for PSM capacity building as part of grant management, including the increased use of technical partners - Stage 1: Consultations with countries to define scope, roles and responsibilities for capacity building services - Stage 2: Implementation	Planning in progress – to be included into CTP in later iterations
Capacity Building Services	2.15-Introduce a mechanism for budgeting and targeting adequate grant funding towards strengthening in-country PSM, including new guidelines	In progress as a previously existing project
3. Improved proposal development & review processes		
Streamlining proposal development	3.2-Improved IT tools and information for applicants including "applicant country profile"	Complete
New grant architecture	3.5-Consolidated proposals, presenting holistic picture of new funding request with existing funding, and enabling reprogramming of previously-approved activities	In progress as a previously existing project
National Strategy Applications	3.6-Continue roll-out of NSA approach with implementation of First Learning Wave grants	In progress as a previously existing project
National Strategy Applications	3.8-Catalyze multi-partner approach to assessments of national disease strategies with donors, technical partners, civil society	In progress as a previously existing project
National Strategy Applications	3.9-Gather lessons to inform future roll-out of NSAs and future joint assessment	In progress as a previously existing project
Health Systems Funding Platform	3.10-Common proposal for cross-cutting HSS activities with GAVI (for all GAVI and GF-eligible countries) based on harmonized HSS scope, fiduciary and M&E frameworks	In progress as a previously existing project
Health Systems Funding Platform	3.11-Pilot of new funding mechanism to enable applicants to submit funding requests based on jointly assessed national health strategies in 4-5 countries	In progress as a previously existing project
4. Improved grant management/ reduced transaction costs		
Disbursements	4.4-Options proposed to Board to strengthen application of PBF, including options for go accelerate (GF/B23/DP11 - i, ii, iv)	Planning in progress – to be included into CTP in later iterations
Disbursements	4.5-Integration of on-site data verifications and Enhanced Financial Reporting into the disbursement request process	Complete
Disbursements	4.9-Programmatic performance review introduced at disbursements alongside financial reviews (GF/B23/DP11 - ii and iv)	Planning in progress – to be included into CTP in later iterations
Disbursements	4.10-Automation: Disbursement process IT-enabled from PR to LFA and Global Fund Secretariat	Planning in progress – to be included into CTP in later iterations
Performance-based funding	4.12-Bi-annual reviews of use of rating tool at disbursement	Planning in progress – to be included into CTP in later iterations
New grant architecture	4.15-Transition of the GF portfolio to single streams of funding	In progress as a previously existing project
New grant architecture	4.16-Program-oriented periodic reviews replace grant-specific Phase 2 Reviews	In progress as a previously existing project
Streamlining grant signing	4.17-Options proposed to PIC for incentivizing PR to conclude negotiations ⁽²⁾	Cancelled or superseded by new project/action
Health Systems Funding Platform (Strategic Initiative in Pilot stage)	4.19-Harmonization and alignment with GAVI of existing HSS support in the areas of M&E, financial management and procurement	In progress as a previously existing project
5. Improved Global Fund internal management		
Q1 Review	5.1-Q1 Review completed as an input for GF Executive Director's action/decision	Complete
Staff Survey	5.3-Corporate action plan to address issues raised in 2010 staff survey	In progress as a previously existing project
Country Team Approach	5.5-3-day CT-specific training for 65 CT members (representing first 13 Country Teams)	Complete
Country Team Approach	5.7-CTA monitoring and evaluation - Finalize evaluation framework for CT deliverables	Complete
IT: Automation of key grant management processes	5.11-Automation of key processes: - Access to funding - Grant Signing - Disbursements - Periodic Review	In progress as a previously existing project

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IT: Automation of key grant management processes	5.12-One integrated platform for information management and storage available to Global Fund staff and country level implementers	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.13-GFS reporting Completion	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.14-HR Leave and Absence Management	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.15-HR Process Improvement	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.16-Maintenance - GFS Offshore Applications Support and Maintenance	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.17-HR-Service Request Management	Planning in progress – to be included into CTP in later iterations
IT: Automation of Corporate Administrative and Finance processes	5.18-GFS - Travel System	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.19-GFS - Implement Automated Testing	In progress as a previously existing project
IT: Automation of Corporate Administrative and Finance processes	5.20-Procurement - New Categories, Supplier, Process Redesign, Requisition Memo automation	In progress as a previously existing project
IT: Integrated platform for information management for GF staff and implementers	5.23-IM - Improve monitoring and management of expenditures	Planning in progress – to be included into CTP in later iterations
IT: Integrated platform for information management for GF staff and implementers	5.24-IM - KPI Automation	In progress as a previously existing project
IT: Integrated platform for information management for GF staff and implementers	5.25-IM - Automation of Grant Documents	In progress as a previously existing project
IT: Integrated platform for information management for GF staff and implementers	5.26-IM - Preparation of documents for e-reading	Planning in progress – to be included into CTP in later iterations
IT: Integrated platform for information management for GF staff and implementers	5.27-Intranet Redesign	Planning in progress – to be included into CTP in later iterations
6. Improved partnership and in-country structures		
Country Partnership agreements	6.2-i. Develop partnership agreements / strategic collaboration plans in up to 3 countries from Wave I and Wave II CTA list to ensure effective technical cooperation, country support, and capacity building in areas that have been identified as gaps, e.g., in technical, financial, and operational management. (Decision Point GF/B23/DP10 paragraph i) ii. Identify priority areas for, and roll-out, Joint Operational Plans (ML-BL partners) and CSO joint collaboration plans to strengthen regional and in-country partner support [GF/B23/DP10]	In progress as a previously existing project
Global/Regional TA Coordination	6.3-Design a model for Global/regional multi-stakeholder TA and capacity development coordination platform (including bilateral, multilateral, CSO, PS and other partners). CRWG GF/B23/13 Part 3; section 3.6.vi. Q3: Collate partner reflections on the strengths of the earlier (HIV only) GIST mechanism; and consult with TB, Malaria and HSS/CSS stakeholders on gaps and opportunities; Q4: prepare detailed concept note for appropriate platform, and consult broadly	In progress as a previously existing project
TA coordination	6.3- Design a model for global/regional multi-stakeholder TA and capacity development coordination platform (including bilateral, multilateral, CSO, private sector and other partners) [GF/B23/10]	In progress as a previously existing project

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Country-level TA models, funding and coordination	<p>6.4-Design and pilot country-level mechanisms to ensure effective TA, country support and capacity building Partnerships - the scope of which include:</p> <p>i. Use flexible funding models, where agreed by the Country Coordinating Mechanisms (CCMs) and Principal Recipients (PRs), to utilize existing grant budgets to improve financing of partner support for grant implementation, particularly in bottleneck situations (Decision point GF/B23/DP10, paragraph ii) ; and</p> <p>ii. appropriate mechanism(s) to provide expanded technical and management support and capacity building to SRs, particularly focusing on civil society SRs (including possible integration with human rights reinforcement deliverable below).</p>	In progress as a previously existing project
Human rights	<p>Building on the ongoing internal equity audit work, develop a framework (incl a situation analysis, and implementation & evaluation plans) for country-specific capacity building to improve the prioritization of funding towards populations most in need of services. (The framework will define the roles of partners and define the tools required to carry out human rights assessments and build capacity at the country level to carry out human rights audits that feed in to national programming and Global Fund financing mechanisms)</p> <p>(Lead by CS Team in conjunction with SPE). Potentially, PU and SPE will explore how this human rights mechanism can be integrated into the TA mechanism suggested above.</p>	Planning in progress – to be included into CTP in later iterations
Co-investment and counterpart financing for emerging economies	Develop a specific co-investment strategy for G20 emerging economies and regional financial institutions (e.g. AfDB, IDB, ADB, etc) to grow the funds from the sector, nuanced for specific settings, and focused on providing scope for innovation solutions	In progress as a previously existing project
CCM-related reforms	6.5-Strengthened CCM role: CCM Guidelines revised to strengthen guidance on transparency, management of conflict of interest, engagement of civil society and private sector and CCM role in oversight of grant implementers. As mandated by the revised CCM Guidelines, application of the revised requirements for CCMs will be applied to CCMs in Round 11. From Round 11, CCM functioning, according to the requirements, will be followed on an ongoing basis throughout the grant life. Key moments for review, analysis and management actions will be at the time of proposal submission and Periodic Review.	Complete
CCM-related reforms	6.6-New CCM performance framework	In progress as a previously existing project
CCM-related reforms	6.7-Scale-up of expanded CCM funding policy and evaluation of CCM Funding policy	In progress as a previously existing project
CCM-related reforms	CCM Policy and technical expertise integrated into grant management. Best practice and lessons learned systematically used to inform Global Fund policy and operational procedures.	Planning in progress – to be included into CTP in later iterations
7. Improved governance		
Resource mobilization strategy	<p>8.1-New resource mobilization strategy and implementation plan, including work on the following measures:</p> <ul style="list-style-type: none"> - Identification of new initiatives to expand the donor base among emerging economy and middle income countries (including recommended policy changes where necessary) - Expansion of Debt2Health to wider range of creditors - Development of tailored 'funding packages' for private sector and individual donors that better meet their needs and interests - Creation of new innovative financing mechanisms 	Planning in progress – to be included into CTP in later iterations

(1) This reform action has been superseded by the Board DP on recoveries and losses

(2) This deliverable is superseded by CTP projects "Early launch of elements of a 2-stage application approach through Round 11 (incl. NSA and HSFP)" and "Design and roll out 2-stage application/approval model" which may not include incentives for PRs, but these projects and the recommendations from HLP supersede this deliverable.