36th Board Meeting

Annual Report on Status of Board Decisions

GF/B36/22

16-17 November 2016, Montreux, Switzerland

Board Information

Purpose of the paper: As required under the Governance Plan for Impact, this paper is prepared on an annual basis by the Office of Board Affairs, and provides a report on the status of past Board Decision Points, for Board information.

The Global Fund

Relevant Past Decisions

Relevant past Decision Point	Summary and Impact
GF/B32/DP05: Approving the Governance Plan for Impact (November 2014)	In the context of developing the Enhanced Governance Structure, the Board approved measures from the Governance Plan, which included recommendations regarding enhancing institutional memory management.

Introduction

1.   The Governance Plan for Impact[1] approved by the Board in November 2014[2] called on the Office of Board Affairs ("OBA") to implement a number of enhancements to institutional memory management tools and processes. The enhancements respond to the required improvements identified through the Office of the Inspector General's Governance Review.[3] Namely, for the Board's decision-making to be informed by a "clear appreciation for the legacy of active decisions", and secondly, to enable increased visibility over the status of implementation of past Board decisions.

2.   This Report is submitted by the OBA, and presents a status update on Board decisions as of 1 November.

3.   The OBA will continue to propose and implement improvements to Board decision-making processes, and currently plans the following development:

   a.   Board Decision Review Phase 2: A second phase of analysis of past Board decisions dating from 2002-2010 is in progress. A light touch review focused on active policy from the pre-2011 period. Active policies will be referred to institutional routine policy review.

4.   Board members are invited to contact the OBA for further information or detail upon request.

---

[1] GF/B32/08 – Revision 2: Governance Plan for Impact

[2] http://www.theglobalfund.org/Knowledge/Decisions/GF/B32/DP05/

[3] GF-OIG-14-008: OIG Advisory Report, Governance Review
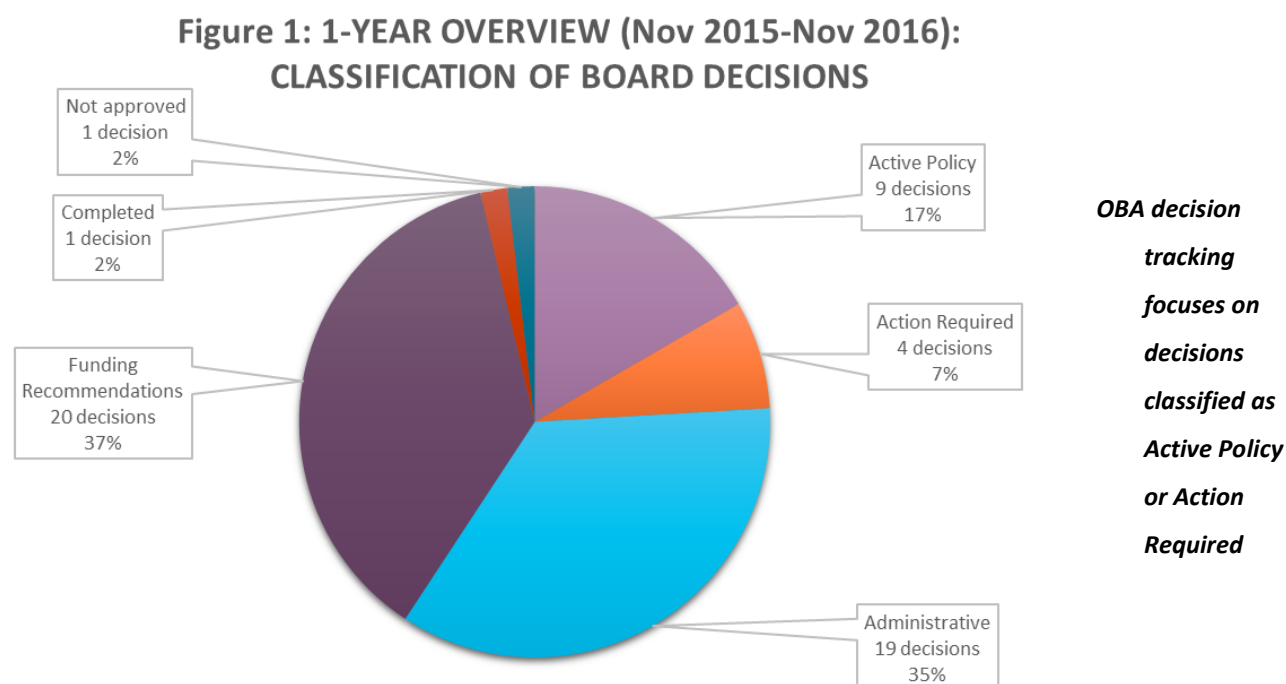
I. Board Decisions: Status Update

5.   This report supports the Board, the Board Leadership and the Coordinating Group in overseeing follow-up to, and implementation of, past Board decisions, and provides assurance that past Board decisions are being monitored by the Office of Board Affairs.

6.   Decisions are classified as Active or Closed, with the full classification methodology described in Annex 07 to this report.

7.   Tracking of Board decision follow-up by the OBA focuses on those decisions classified as "Active". That is, (a) "Active Policy" decisions, approving policies, frameworks, models, and core governance documents, and their associated reporting requirements; and (b) "Action Required" decisions, with time-bound follow-up action or actions, such as Board-initiated projects.

01 Overview of Key Statistics – One year view

8.   The Board has taken a total of **51 decisions and electronic decisions** from November 2015 to November 2016. Figure 1 below displays the proportion of Board decisions per classification category, for this one year period and as at 1 November 2015, following the classification methodology described in Annex 07.[4]

Figure 1: 1-YEAR OVERVIEW (Nov 2015-Nov 2016): CLASSIFICATION OF BOARD DECISIONS

OBA decision tracking focuses on decisions classified as Active Policy or Action Required

---

[4] Note that a small number of decisions (3, for the period 2015-2016) are classified as both 'Active Policy' and 'Action Required'.

Table 1: Electronic Decisions currently pending outcome of electronic vote

9. As of 1 November 2016, one additional electronic decision pending Board consideration prior to the 36th Board Meeting is noted in Table 1 below.

Decision Point Reference	Decision Point Title	Voting Deadline
GF/B35/EDP20	Funding Recommendations	14 November 2016

02 Active Decisions (January 2011 – March 2015)

10. Decisions classified as active represent: (a) **Active Policy**: decisions implementing policies, frameworks, models, or core governance documents, and their associated reporting requirements, as well as past decisions reflecting key principles or guidance given by the Board; or (b) **Action Required**: decisions requiring a time-bound follow-up action or actions, such as Board-initiated projects. The table below shows where detailed lists of active decisions can be found.

Placement	Document present
Annex 1	Active decisions from November 2015 – November 2016
Annex 2	Action required decisions fom 2011 – 2016
Annex 3	Active Policy from 2011 - 2016

03 Closed Decisions (November 2015 – October 2016 period)

11. As defined in Annex 07, decisions are classified as "closed" if (1) required actions have been completed; (2) superseded by a subsequent decision; (3) the decision is administrative in nature (approval of agendas, reports, membership appointments). In addition, funding recommendations enter into core business and as such are not monitored by the OBA's decision tracking processes.

12. Clearance. Decisions are classified by the OBA, in consultation with relevant internal departments as applicable, and on the basis of formal documentation submitted to the Board and its committees. All classifications are reviewed by the Legal and Compliance Department and shared with the Board in this report.

13. Decisions are classified as closed as described in Annex 07.

14. Detailed lists of closed decisions can be found in the annexes, as listed in the table below.

Placement	Document present
Annex 4	Decisions completed or superseded in the November 2015 – November 2016 period
Annex 5	Administrative decisions from November 2015 – November 2016 period
Annex 6	Funding Recommendations from November 2015 – November 2016 period

- **Funding Recommendations**
  In addition to their inclusion in the Public Database of Board Decisions, a register of Board-approved funding recommendations is available at the following link:
  http://www.theglobalfund.org/en/fundingdecisions/ .

Annex 01: All Decisions and Electronic Decisions taken from November 2015 to November 2016

- **01: Active Decisions - All active decisions approved between the 34th and 35th Board Meetings (18th November 2015 - 1st November 2016)**

[Page intentionally left blank]

Annex 02: Active Decisions: Action required (2011-2016)

- **02 : Active Decisions - Action Required : Decisions dating from January 2011 - November 2016**

[Page intentionally left blank]

Annex 03: Active Decisions: Active Policy (2011-2016)

- **03 : Active Decisions - Active Policy: Decisions dating from January 2011 - November 2016**

[Page intentionally left blank]

Annex 04: Closed Decisions: Completed or superseded (2015-2016)

- **04 : Closed Decisions - Decisions completed or superseded between the 34th and 35th Board Meetings (18th November 2015 - 1st November 2016)**

[Page intentionally left blank]

Annex 05: Closed Decisions: Administrative (November 2015-November 2016)

- **05 : Closed Decisions - Administrative : Decisions dating from 18 November 2015 -1 November 2016**

[Page intentionally left blank]

Annex 06: Closed Decisions: Funding Recommendations (November 2015 – November 2016)

- **06 : Closed Decisions - Funding Recommendations : Decisions dating from 18 November 2015 -1 November 2016**

[Page intentionally left blank]

Annex 07: Classification System for Board Decisions

Board Decisions are reviewed and classified according to the following methodology, for tracking purposes. Classifications are reflected in the public Database of Board Decisions.

Classification	Sub-classification	Definition
ACTIVE Decisions	Action Required	Decision requires a time-bound one-off action or set of actions. Includes projects and other assignments required of the Secretariat, the OIG, or a governance body.
	Active Policy	Decision provides the underlying authorization or approval of (1) active policies and guiding principles, (2) strategic/operational frameworks and models, (3) the most recent approval of core governance documents (Charters, TORs, Operating Procedures, etc.)
Reporting Requirement	n/a	Active Decision which requires routine reporting to the Board or other governance body.
Classification	Sub-classification	Definition
CLOSED Decisions	Completed	Time-bound one-off action, or series of actions, completed, or project delivered. Applicable timeframe for an approved policy, framework or model has reached its defined expiry date.
	Superseded	Decisions approving a past policy, framework, model or core governance document which is no longer in force, having been replaced by a more recent policy/framework/model/core document approved by Board Decision.
	Administrative - no follow-up required	Decisions with no follow-up action. Approval of a document for the record (agenda, report of a meeting); approval of annual financial statements; appointment of an individual to a role. Decisions which have been withdrawn or not approved are classified as 'Administrative', with an explanation included in the Notes field where applicable (for example, link to a subsequent approved decision).
	Funding Recommendations	Decisions of the Board, on the recommendation of the Grant Approvals Committee or previous equivalent body, relating to allocation of funding and grant extensions.