

# FUNDING REQUEST APPLICATION FORM

## Full Review

SUMMARY INFORMATION			
Applicant			
Component(s)			
Principal Recipient(s)			
Envisioned grant(s) start date		Envisioned grant(s) end date	
Allocation funding request		Prioritized above allocation request	

**IMPORTANT:**

To complete this funding request, please:

- Refer to the accompanying **Funding Request Instructions: Full Review**;
- Refer to the Information Note for each component as relevant to the funding request, and other guidance available, found on the [Global Fund website](#).
- Ensure that all mandatory attachments have been completed and attached. To assist with this, an application checklist is provided in the Annex of the *Instructions*;
- Ensure consistency across documentation.

**Applicants are encouraged to submit a joint funding request** for eligible disease components and resilient and sustainable systems for health (RSSH).

**Joint TB/HIV submissions are compulsory for a selected number of countries with highest rates of co-infection.** See the related [guidance](#) for more information.

**This funding request includes the following sections:**

- Section 1:** Context related to the funding request
- Section 2:** Program elements proposed for Global Fund support, including rationale
- Section 3:** Planned implementation arrangements and risk mitigation measures
- Section 4:** Funding landscape, co-financing and sustainability
- Section 5:** Prioritized above allocation request

## SECTION 1: CONTEXT

This section should capture in a concise way relevant information on the country context. Attach and refer to key contextual documentation justifying the choice of interventions proposed. To respond, refer to additional guidance provided in the *Instructions*.

### 1.1 Key reference documents on country context

List contextual documentation for key areas in the table provided below. If key information for effective programming is not available, specify this in the table (“N/A”) and explain in Section 1.2 how this was dealt with within the context of the request, including plans, if any, to address such gaps.

Applicant response in table below.

Key area	Applicable reference document(s)	Relevant section(s) & pages nb.	N/A
<b>Resilient and Sustainable Systems for Health (RSSH)</b>			
Health system overview			<input type="checkbox"/>
Health system strategy			<input type="checkbox"/>
Human rights and gender considerations (cross-cutting)			<input type="checkbox"/>
<b>Disease-specific</b>			
Epidemiological profile (including interventions for key and vulnerable populations, as relevant)			<input type="checkbox"/>
Disease strategy (including interventions for key and vulnerable populations, as relevant)			<input type="checkbox"/>
Operational plan, including budgetary framework			<input type="checkbox"/>
Program reviews and/or evaluations			<input type="checkbox"/>
Human rights and gender considerations (disease-specific)			<input type="checkbox"/>
<i>Add rows as relevant, for any additional key area as relevant to the funding request</i>			

## 1.2 Summary of country context

To complement the reference documents listed in Section 1.1 above, provide a summary of the critical elements within the context that informed the development of the funding request. The brief description of the context should cover disease-specific and RSSH components, as appropriate, as well as human rights and gender-related considerations.

**(maximum 2 pages per component)**

[Applicant response]:

### 1.3 Past implementation and lessons-learned from Global Fund and other donor investments

- a) List recent disease-specific Global Fund grants from the 2014-16 allocation period and summarize key lessons learned from their implementation.
- b) Include lessons-learned from specific HSS grants or any HSS investments embedded in the disease-specific grant(s) from the 2014-16 allocation period as applicable.
- c) Outline lessons learned from investments by other donors as applicable.

For each of the above, explain how these lessons learned are taken into account in this funding request.

**(maximum 1 page per component)**

[Applicant response]:

## SECTION 2: FUNDING REQUEST (Within Allocation)

This section should describe and provide a rationale for the program elements proposed for this funding request. Attach and refer to completed **Programmatic Gap Table(s), Funding Landscape Table(s), Performance Framework and Budget**, and refer to national strategy documents as applicable.

To respond, refer to additional guidance provided in the *Instructions*.

Ensure that the funding request as described in questions 2.1 and/or 2.2 meets the focus of application requirement as outlined in section 2.3.

### 2.1 Disease-specific funding request

*Not applicable if the application is a standalone RSSH request.*

Given the context and lessons learned outlined in Section 1,

- a) Describe the disease-specific funding request(s), the rationale for prioritizing modules and interventions, and how these choices ensure the highest possible impact with a view to ending the three diseases and removing human rights and gender-related barriers to accessing services.

For any priority modules for which gaps are difficult to quantify in the programmatic gap tables, explain here the barriers being addressed, the proposed interventions and the population or groups involved.

- b) Explain how the funding request addresses the key funding gaps reflected in the Funding Landscape Table(s) for the disease program(s) in the current allocation cycle, and specify other actions planned to cover remaining gaps.

For funding requests including both HIV and TB components:

- c) Describe the coordination of joint TB and HIV strategies, policies and interventions at different levels of the health system, including community systems, and expected impact and efficiencies from the joint programming.

Ensure the answer appropriately reflects the separate disease programs in addition to cross cutting modules where appropriate.

**(maximum 4 pages per component)**

[Applicant response]:

## 2.2 RSSH funding request

The Global Fund strongly encourages funding requests for RSSH investments to be submitted within a **single** application, and preferably to be requested in the first submission.

**Does this funding request include an RSSH component?**

Yes

No

**If yes**, describe the request below and how it is strategically targeted.

Referring to the national health strategy, gaps and lessons learned outlined in the previous section, describe the funding request for RSSH and how the investment is strategically targeted to strengthen systems for health and achieve greater impact on the diseases. In your explanation, refer to the Funding Landscape Table on 'government health spending', Performance Framework and Budget as appropriate. Note that it is optional to complete a Programmatic Gap Table for RSSH.

**(maximum 3 pages)**

[Applicant response]:

**If no:**

- a) Indicate when the RSSH funding request was/will be submitted; and,
- b) **If the RSSH funding request has not yet been submitted**, highlight below the elements of the planned RSSH investment that will directly support the disease program in this funding request.

**(maximum ½ page)**

[Applicant response]:

### 2.3 Focus of application requirement <sup>1</sup>

This question is required for Lower-Middle Income (LMI) and Upper-Middle Income (UMI) countries. It is not applicable for Low-Income (LI) countries.

To respond, refer to guidance provided in the *Instructions*.

#### For LMI countries:

- Does the funding request focus at least 50% of the budget on: disease-specific interventions for key and vulnerable populations; programs that address human rights and gender-related barriers and vulnerabilities; and/or highest impact interventions?
- For RSSH, does the funding request primarily focus on improving overall program outcomes for key and vulnerable populations in two or more of the diseases, and is it targeted to support scale-up, efficiency and alignment of interventions?

Yes       No

Yes       No

#### For UMI countries:

- Does the funding request focus 100% of the budget on interventions that maintain or scale-up evidence-based approaches for key and vulnerable populations, including programs that address human rights and gender-related barriers and vulnerabilities?

Yes       No

**Ensure that the funding request as described in questions 2.1 and/or 2.2 meets this focus of application requirement.**

<sup>1</sup> Refer to the [Global Fund 2017 Eligibility List](#) for income level. LMI and UMI countries have specific requirements in terms of the focus of applications as set forth in the Global Fund [Sustainability, Transition and Co-Financing Policy](#).

## SECTION 3: OPERATIONALIZATION AND RISK MITIGATION

This section describes the planned implementation arrangements and foreseen risks for the proposed program(s). Applicants are encouraged to **attach an updated Implementation Arrangements Map**. To respond, refer to additional guidance provided in the *Instructions*.

### 3.1 Implementation arrangements summary

Do you propose major changes from past implementation arrangements, e.g. in key implementers, flow of funds or commodities?  Yes  No

If **yes**, provide an overview of the new implementation arrangements and elaborate how these changes affect the operationalization of the grant.

If **no**, provide a summary of high-level implementation arrangements focusing only on lessons learned for the next period.

In **both cases**, detail how representatives of women's organizations, key populations and people living with the disease(s), as applicable, will actively participate in the implementation.

Include a description of procurement mechanisms.

**(maximum 1 page)**

[Applicant response]:

### 3.2 Key implementation risks

Using the table below, outline key risks foreseen, including those that were provided in the *Key Program Risks* table shared by the Global Fund during the Country Dialogue process. You can also add key operational and implementation risks, which you identified as outstanding over the previous implementation period, and the specific mitigation measures planned to address each of these challenges/risks to ensure effective program performance in the given context.

Applicant response in table below.

<b>Risk Category (Functional area)</b>	<b>Key Risk</b>	<b>Mitigating actions</b>	<b>Timeline</b>

*Add rows for additional key risks as necessary*

## SECTION 4: FUNDING LANDSCAPE, CO-FINANCING AND SUSTAINABILITY

This section details trends in overall health financing, government commitments to co-financing, and key plans for sustainability. Refer to the **Funding Landscape Table(s)** and supporting documents as applicable. To respond, refer to additional guidance provided in the *Instructions*.

4.1 Funding Landscape and Co-financing	
a) Are there any current and/or planned actions or reforms to increase domestic resources for health as well as to enable greater efficiency and effectiveness of health spending? <b>If yes</b> , provide details below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
b) Is this current application requesting Global Fund support for developing a health financing strategy and/or implementing health-financing reforms? <b>If yes</b> , provide a brief description below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
c) Have previous government commitments for the 2014-16 allocation been realized? <b>If not</b> , provide reasons below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
d) Do current co-financing commitments for the 2017-19 allocation meet minimum requirements to fully access the co-financing incentive, as set forth in the Sustainability, Transition and Co-financing Policy? <sup>2</sup> <b>If not</b> , provide reasons below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
e) Does this application request Global Fund support for the institutionalization of expenditure tracking mechanisms such as National Health Accounts? If yes or no, <b>specify</b> below how realization of co-financing commitments will be tracked and reported.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>(maximum 2 pages)</b>	

[Applicant response]:

<sup>2</sup> Refer to the [Sustainability, Transition and Co-Financing Policy](#).

## 4.2 Sustainability

Describe below how the government will increasingly take up health program costs, and actions to improve sustainability of Global Fund financed programs. Specifically,

- a) Explain the costs, availability of funds and the funding gap for major program areas. Specify in particular how the government will increasingly take up key costs of national disease plans and/or support health systems; including scaling up investments in programs for key and vulnerable population, removal of human rights and gender-related barriers and enabling environment interventions.
- b) Describe actions to improve sustainability of Global Fund financed programs. Specifically, highlight key sustainability challenges of the program(s) covered by the funding request, and any current and/or planned actions to address them.

**(maximum 1 page)**

[Applicant response]:

## 5. Prioritized Above Allocation (PAAR)

To complete a PAAR, please fill-in the attached Excel template