# The Global Fund

# FUNDING REQUEST
## Tailored to National Strategy-based Pilots

| SUMMARY INFORMATION | | | |
|---|---|---|---|
| **Applicant** | | | |
| **Component(s)** | | | |
| **Principal Recipient(s)** | | | |
| **Envisioned grant(s) start date** | | **Envisioned grant(s) end date** | |
| **Allocation funding request** | | **Prioritized above allocation request** | |

**_IMPORTANT:_**

**To complete this funding request**, please:

- Refer to the accompanying *Funding Request Instructions: Tailored to National Strategy Pilots;*
- Refer to the *Information Note* for each component as relevant to the funding request, and other guidance available, found on the [Global Fund website](#);
- Ensure that all mandatory attachments have been completed and attached. To assist with this, an application checklist is provided in the Annex of the *Instructions;*
- Ensure consistency across documentation.

**Applicants are encouraged to submit a joint funding request** for the eligible disease components and resilient and sustainable systems for health (RSSH).

**Joint TB/HIV submissions are compulsory for a selected number of countries** with highest rates of co-infection. See the related [guidance](#) for more information.

**This funding request includes the following sections:**

**Section 1:** Context related to the funding request
**Section 2:** Program elements proposed for Global Fund support, including rationale
**Section 3:** Planned implementation arrangements and risk mitigation measures
**Section 4:** Funding landscape, co-financing and sustainability
**Section 5:** Prioritized above allocation request

## SECTION 1: CONTEXT

This section should capture in a concise way relevant information on the country context. Attach and refer to key contextual documentation justifying the choice of interventions proposed. To respond, refer to additional guidance provided in the *Instructions*.

### 1.1 Key reference documents on country context

List key contextual documentation for key areas in the table provided below. In case key information for effective programming is not available, specify in the table ("N/A"); and in Section 1.2 explain how this was dealt with within the context of the request and plans, if any, to address such gaps.

Applicant response in table below.

| Key area | Applicable reference document(s) | Relevant section(s) & page nb. | N/A |
|---|---|---|---|
| **Resilient and Sustainable Systems for Health (RSSH)** | | | |
| Health system overview | | | ☐ |
| Health system strategy | | | ☐ |
| Human rights and gender considerations (cross-cutting) | | | ☐ |
| **Disease-specific** | | | |
| Epidemiological profile (including interventions for key and vulnerable populations, as relevant) | | | ☐ |
| Disease strategy (including interventions for key and vulnerable populations, as relevant) | | | ☐ |
| Operational plan, including budgetary framework | | | ☐ |
| Program reviews and/or evaluations | | | ☐ |
| Human rights and gender considerations (disease-specific) | | | ☐ |
| *Add rows as relevant, for any additional key area as relevant to the funding request* | | | |

## 1.2 Other contextual information

To complement the reference documents listed in Section 1.1 above, provide a summary of the other critical elements within the country context, including key lessons learned from past implementation that informed the development of the funding request. The brief description should cover disease-specific and RSSH components, as appropriate, as well as human rights and gender-related considerations.

**(maximum 1 page per component)**

[*Applicant response*]:

## SECTION 2: FUNDING REQUEST (Within Allocation)

This section describes the applicant's request within the allocation amount, aligning with national strategy documents.

Attach and refer to **Programmatic Gap Table(s), Funding Landscape Table, Performance Framework and Budget**, and refer to national strategy documents. To respond, refer to additional guidance in the *Instructions*.

### 2.1 NHP/NSP reference

Complete the table below by identifying the key sections in your National Strategic Plan/National Health Plan and/or other national strategy documents that this funding request is meant to cover, along with the associated cost.

When doing so, **ensure that you comply** with the Focus of Application Requirement, as specified in the *Instructions*.

Applicant response in the table below.

| Reference document | Relevant section(s) / page nb. | Corresponding modules / interventions | Associated cost to be covered by this funding request |
|---|---|---|---|
|  |  |  |  |
|  |  |  |  |
| *Add rows as relevant* |  |  |  |
|  |  | **TOTAL** |  |

| **2.2 Funding request** |
|---|
| a) For any priority modules for which gaps are difficult to quantify in the programmatic gap tables, explain here the barriers being addressed, the proposed interventions and the population or groups involved;<br><br>b) Briefly explain the rationale for prioritization of the above-mentioned areas of the national strategy;<br><br>c) Concisely describe how the requested funds will help achieve the expected impact of the national strategic plan, including building a resilient and sustainable health system, strengthening programs for key and vulnerable populations, and addressing human rights and gender-related barriers as applicable.<br><br>**(maximum 1 page per component)** |

[*Applicant response*]:

<table>
<tr>
<td colspan="2"><strong>2.3 Focus of application requirement</strong> <em>[1]</em><br>This question is required for Lower-Middle Income (LMI) and Upper-Middle Income (UMI) countries. It is <u>not</u> applicable for Low-Income (LI) countries.<br>To respond, refer to guidance provided in the <em>Instructions.</em></td>
</tr>
<tr>
<td><strong>For LMI countries:</strong><br><br>-   Does the funding request focus at least 50% of the budget on: disease-specific interventions for key and vulnerable populations; programs that address human rights and gender-related barriers and vulnerabilities; and/or highest impact interventions?</td>
<td>☐ Yes      ☐ No</td>
</tr>
<tr>
<td>-   For RSSH, does the funding request primarily focus on improving overall program outcomes for key and vulnerable populations in two or more of the diseases, and is it targeted to support scale-up, efficiency and alignment of interventions?</td>
<td>☐ Yes      ☐ No</td>
</tr>
<tr>
<td><strong>For UMI countries:</strong><br><br>-   Does the funding request focus 100% of the budget on interventions that maintain or scale-up evidence-based approaches for key and vulnerable populations, including programs that address human rights and gender-related barriers and vulnerabilities?</td>
<td>☐ Yes      ☐ No</td>
</tr>
<tr>
<td colspan="2"><strong>Ensure that the key sections of your National Strategy identified in question 2.1 meet this focus of application requirement.</strong></td>
</tr>
</table>

---

[1] Refer to the Global Fund 2017 Eligibility List for income level. LMI and UMI countries have specific requirements in terms of the focus of applications as set forth in the Global Fund Sustainability, Transition and Co-Financing Policy.

## SECTION 3: OPERATIONALIZATION AND RISK MITIGATION

This section describes the planned implementation arrangements and foreseen risks for the proposed program(s). Refer to the implementation arrangements section of your NSP or, if not available, applicants are encouraged to attach an updated **Implementation Arrangements Map**. To respond, refer to additional guidance provided in the *Instructions.*

### 3.1 Implementation arrangements summary

| | |
|---|---|
| Do you propose major changes from past implementation arrangements, e.g. in key implementers, flow of funds or commodities? | ☐ Yes      ☐ No |

**If yes,**

   a) outline key changes from past implementation arrangements to give an understanding of grant operationalization;
   b) detail how representatives of women's organizations, key and vulnerable populations and people living with the disease(s) as applicable will actively participate in the implementation of this funding request;
   c) include a description of procurement mechanisms for the grant(s).

**(maximum ½ page)**

[*Applicant response*]:

## 3.2 Key implementation risks

Using the table below, outline key risks foreseen, including those that were provided in the *Key Program Risks* table shared by the Global Fund during the Country Dialogue process. You can also add key operational and implementation risks, which you identified as outstanding over the previous implementation period, and the specific mitigation measures planned to address each of these challenges/risks to ensure effective program performance in the given context.

Applicant response in table below.

| Risk Category (Functional area) | Key Risk | Mitigating actions | Timeline |
|---|---|---|---|
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
| *Add rows for additional key risks as necessary* | | | |

## SECTION 4: FUNDING LANDSCAPE, CO-FINANCING AND SUSTAINABILITY

This section details trends in overall health financing, government commitments to co-financing, and key plans for sustainability. Refer to the **Funding Landscape Table(s)** and supporting documents as applicable. To respond, refer to additional guidance provided in the *Instructions*.

| 4.1 Funding Landscape and Co-financing | | |
|---|---|---|
| a) Are there any current and/or planned actions or reforms to increase domestic resources for health as well as to enable greater efficiency and effectiveness of health spending? **If yes,** provide details below. | ☐ Yes | ☐ No |
| b) Is this current application requesting Global Fund support for developing a health financing strategy and/or implementing health-financing reforms? **If yes,** provide a brief description below. | ☐ Yes | ☐ No |
| c) Have previous government commitments for the 2014-16 allocation been realized? **If not**, provide reasons below. | ☐ Yes | ☐ No |
| d) Do current co-financing commitments for the 2017-19 allocation meet minimum requirements to fully access the co-financing incentive, as set forth in the Sustainability, Transition and Co-financing Policy?[2] **If not**, provide reasons below. | ☐ Yes | ☐ No |
| e) Does this application request Global Fund support for the institutionalization of expenditure tracking mechanisms such as National Health Accounts? If yes or no, **specify** below how realization of co-financing commitments will be tracked and reported. | ☐ Yes | ☐ No |
| **(maximum 2 pages)** | | |

[*Applicant response*]:

---

[2] Refer to the Sustainability, Transition and Co-Financing Policy.

**4.2  Sustainability**

Describe below how the government will increasingly take up health program costs, and actions to improve sustainability of Global Fund financed programs. Specifically,

a) Explain the costs, availability of funds and the funding gap for major program areas. Specify in particular how the government will increasingly take up key costs of national disease plans and/or support health systems; including scaling up investments in programs for key and vulnerable population, removal of human rights and gender-related barriers and enabling environment interventions.

b) Describe actions to improve sustainability of Global Fund financed programs. Specifically, highlight key sustainability challenges of the program(s) covered by the funding request, and any current and/or planned actions to address them.

**(maximum 1 page)**

[*Applicant response*]:

**5. Prioritized Above Allocation (PAAR)**

To complete a PAAR, please fill-in the attached Excel template