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# Funding Request Form

Allocation Period 2020-2022

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Refer to the “Tailored for National Strategic Plans (NSPs)” Instructions to complete this form.

## Summary Information

<b>Country(s)</b>	
<b>Component(s)</b>	
<b>Planned grant(s) start date(s)</b>	
<b>Planned grant(s) end date(s)</b>	
<b>Principal Recipient(s)</b>	
<b>Currency</b>	
<b>Allocation Funding Request Amount</b>	
<b>Prioritized Above Allocation Request (PAAR) Amount<sup>1</sup></b>	
<b>Matching Funds Request Amount<sup>2</sup> (if applicable)</b>	



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<sup>1</sup> PAARs can only be submitted with the Funding Request. To complete a PAAR, fill-in the Excel template that you will receive from the Global Fund Secretariat.

<sup>2</sup>This is only relevant for applicants with designated matching funds as indicated in the allocation letter.

## Section 1: Context Related to the Funding Request

To respond to the questions below, refer to the *Instructions*, NSPs, other national documents, and the Essential Data Table(s).

### 1.1 Context Included in NSPs and Other Reference Documents

Check relevant contextual areas included in NSPs, as applicable. For areas not included in NSPs, provide reference to other relevant document(s) with respective page numbers or provide a narrative in Section 1.2.

Key area	Check the box if in NSP	Relevant section(s) and/or page(s) in NSP	If not in NSP, refer to another document (specifying page numbers) or refer to Section 1.2
<b>Cross-cutting</b>			
Health system overview	<input type="checkbox"/>		
Health sector strategy	<input type="checkbox"/>		
Community responses and systems	<input type="checkbox"/>		
Role of the private sector	<input type="checkbox"/>		
Human rights-related barriers/inequities in access to health services	<input type="checkbox"/>		
Gender and age-related barriers/inequities in access to health services	<input type="checkbox"/>		
Economic, geographic and other barriers/inequities in access to health services	<input type="checkbox"/>		
Role of community groups in the design and delivery of programs	<input type="checkbox"/>		
Linkage between disease specific NSPs and sector strategies	<input type="checkbox"/>		
Other	<input type="checkbox"/>		
<b>Disease-specific</b>			
Key stakeholders of NSPs and operational plan development	<input type="checkbox"/>		
Epidemiological profile	<input type="checkbox"/>		
Analysis of key, vulnerable and/or underserved populations	<input type="checkbox"/>		
Lessons learned from past program implementations	<input type="checkbox"/>		
Disease-specific national policies and guidelines	<input type="checkbox"/>		
Summary budget, including costing methodology and assumptions	<input type="checkbox"/>		
Program's prioritization approach	<input type="checkbox"/>		
Monitoring & evaluation plan	<input type="checkbox"/>		
Operational plans	<input type="checkbox"/>		
Other	<input type="checkbox"/>		

### 1.2 Contextual Information not Included in NSPs

For the gaps in question 1.1, provide information below.

[Applicant response]

## Section 2: Funding Request and Prioritization

To respond to the questions below, refer to the *Instructions*, **NSPs**, **Programmatic Gap Table(s)**, **Funding Landscape Table(s)**, **Performance Framework**, **Budget and Essential Data Table(s)**. Include narrative only if these documents omit required information.

### 2.1 Overview of NSP Strategic Areas

Complete the table below, referring to the relevant NSP page numbers, whenever possible. Ensure information is consistent with NSP cost details and analysis provided in **Funding Landscape Table(s)**.

NSP strategic areas	Key interventions (refer to NSP page numbers)	Baseline and targets (refer to NSP page numbers)	NSP funding need In the grant currency for implementation period of this funding request	Anticipated funding gap as % of need for implementation period of this funding request (before Global Fund contribution)
<i>Add rows as relevant</i>				
TOTAL AMOUNT				

### 2.2 Funding Request to the Global Fund

Fill in questions a) and/or b) as relevant for your country funding request approach(es):

- a) for funding requests using the traditional, Performance Based Funding approach
- b) for funding requests using the Payment for Results modality

All applicants should fill in questions **c)**, **d)** and **e)**.

a) For a funding request using the Performance Based Funding approach:

Use the table below to list and provide the rationale for **each intervention** prioritized for Global Fund funding.

**COMPONENT:** *(Indicate component here.)*

NSP Strategic Area #:		
Intervention	Rationale for prioritization for Global Fund funding	Amount requested from the Global Fund
<i>Add rows as relevant</i>		

NSP Strategic Area #:		
Intervention	Rationale for prioritization for Global Fund funding	Amount requested from the Global Fund
<i>Add rows as relevant</i>		
<i>(Add additional tables as relevant)</i>		
<b>TOTAL AMOUNT requested from the Global Fund</b>		

Explain the prioritization approach used to select interventions for Global Fund funding.

[Applicant response]

**b) If an aspect (or the entirety) of this funding request uses the Payment for Results modality:**

Use the table below to list and provide the rationale for selection of the **proposed performance indicators or milestones** for Global Fund funding.

Performance indicator or milestone	Target				Rationale for selection of the indicator/milestone
	Baseline	Y1	Y2	Y3	
<i>Add rows as relevant</i>					
<b>TOTAL AMOUNT requested from the Global Fund</b>					

Specify how the accuracy and reliability of the reported results will be ensured.

[Applicant response]

Explain the prioritization approach used to select performance indicators and/or milestones as results for Global Fund funding.

[Applicant response]

- c) Opportunities for integration:** explain how the proposed investments take into consideration:
- Needs across the three diseases and other related health programs;
  - Links with the broader health systems to improve disease outcomes, efficiency and program sustainability.

[Applicant response]

- d) Summarize how the funding request complies with the **application focus requirements** specified in the allocation letter.

[Applicant response]

- e) Explain how this funding request reflects **value for money**, including examples of improvement in value for money compared to the current allocation period. To respond, refer to the Instructions for the aspects of value for money that should be considered.

[Applicant response]

### 2.3 Matching Funds (if applicable)

This question should only be answered by applicants with designated matching funds, as indicated in the allocation letter.

Describe how the **programmatic and financial conditions**, as outlined in the allocation letter, have been met.

[Applicant response]

## Section 3: Operationalization and Implementation Arrangements

To respond to the questions below, refer to the *Instructions*, NSPs and an updated **Implementation Arrangement Map(s)**<sup>3</sup>.

- a) Describe how the proposed **implementation arrangements** will ensure efficient program delivery.

[Applicant response]

- b) Describe the role that **community-based organizations** will play under the implementation arrangements.

[Applicant response]

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<sup>3</sup> An updated implementation arrangement map is mandatory if the program is continuing with the same PR(s). In cases where the PR is changing, the implementation arrangement map may be submitted at the grant-making stage.

- c) Describe key, **anticipated implementation risks** that might negatively affect (i) the delivery of the program objectives supported by the Global Fund and/or (ii) the broader health system. Then, describe the mitigation measures that address these risks, and which entity would be responsible for these mitigation measures.

Key Implementation Risks	Corresponding Mitigation Measures	Entity Responsible
<i>Add rows as needed</i>		

## Section 4: Co-Financing, Sustainability and Transition

To respond to the questions below, refer to the *Instructions*, the domestic financing section of the **allocation letter**, the [Sustainability, Transition and Co-Financing Guidance Note](#), **Funding Landscape Table(s)**, **Programmatic Gap Tables(s)**, and a **sustainability plan and/or transition work-plan**, if available<sup>4</sup>.

### 4.1 Co-Financing

- a) Have **co-financing commitments** for the **current** allocation period been realized?

Yes       No

If **yes**, attach supporting documentation demonstrating the extent to which co-financing commitments have been met.

If **no**, explain why and outline the impact of this situation on the program:

[Applicant response]

- b) Do **co-financing commitments** for the **next** allocation period meet minimum requirements to fully access the co-financing incentive?

Yes       No

If details on commitments are available, attach supporting documentation demonstrating the extent to which co-financing commitments have been made.

If co-financing commitments do not meet minimum requirements, explain why.

[Applicant response]

- c) Summarize the **programmatic areas** to be supported by domestic co-financing in the next allocation period. In particular:
- i. The financing of key program costs of national disease plans and/or health systems;
  - ii. The planned uptake of interventions currently funded by the Global Fund.

[Applicant response]

<sup>4</sup> Note that information derived from the supporting documentation provided in response to the questions below, including information on funding landscape or domestic commitments, may be made publicly available by the Global Fund.

- d) Specify how co-financing commitments will be tracked and reported. If public financial management systems and/or expenditure tracking mechanisms require strengthening and/or institutionalization, indicate how this funding request will address these needs.

[Applicant response]

#### 4.2 Sustainability and Transition

- a) Based on the analysis in the **Funding Landscape Table(s)**, describe the funding need and anticipated funding, highlighting gaps for major program areas in the next allocation period.

Also, describe how (i) national authorities will work to secure additional funding or new sources of funding, and/or (ii) pursue efficiencies to ensure sufficient support for key interventions, particularly those currently funded by the Global Fund.

[Applicant response]

- b) Highlight challenges related to sustainability (see indicative list in *Instructions*). Explain how these challenges will be addressed either through this funding request or other means. If already described in the national strategy, sustainability and/or transition plan, and/or other documentation submitted with the funding request, refer to relevant sections of those documents.

[Applicant response]

## Annex 1: Documents Checklist

Use the list below to verify the completeness of your application package.

<input type="checkbox"/>	Funding Request Form
<input type="checkbox"/>	Programmatic Gap Table(s)
<input type="checkbox"/>	Funding Landscape Table(s)
<input type="checkbox"/>	Performance Framework
<input type="checkbox"/>	Budget
<input type="checkbox"/>	Prioritized above allocation request (PAAR)
<input type="checkbox"/>	Implementation Arrangement Map(s) <sup>5</sup>
<input type="checkbox"/>	Essential Data Table(s) (updated)
<input type="checkbox"/>	CCM Endorsement of Funding Request
<input type="checkbox"/>	CCM Statement of Compliance
<input type="checkbox"/>	Supporting documentation to confirm meeting co-financing requirements for current allocation period
<input type="checkbox"/>	Supporting documentation for co-financing commitments for next allocation period
<input type="checkbox"/>	Transition Readiness Assessment (if available)
<input type="checkbox"/>	National Strategic Plans (Health Sector and Disease specific)
<input type="checkbox"/>	All supporting documentation referenced in the funding request
<input type="checkbox"/>	Health Product Management Template (if applicable)
<input type="checkbox"/>	List of Abbreviations and Annexes

<sup>5</sup> An updated implementation arrangement map is mandatory if the program is continuing with the same PR(s). In cases where the PR is changing, the implementation arrangement map may be submitted at the grant-making stage.