

FUNDING REQUEST APPLICATION FORM

Tailored to Transition

SUMMARY INFORMATION			
Applicant			
Component(s)			
Principal Recipient(s)			
Envisioned grant(s) start date		Envisioned grant(s) end date	
Allocation funding request		Prioritized above allocation request	

IMPORTANT:

To complete this funding request, please:

- Refer to the accompanying **Funding Request Instructions: Tailored to Transition**;
- Refer to the *Information Note* for each component as relevant to the funding request, and other guidance available, found on the [Global Fund website](#);
- Ensure that all mandatory attachments have been completed and attached. To assist with this, an application checklist is provided in the Annex of the *Instructions*;
- Ensure consistency across documentation.

This funding request includes the following sections:

- Section 1:** Context related to the funding request
- Section 2:** Program elements proposed for Global Fund support, including rationale
- Section 3:** Planned implementation arrangements and risk mitigation measures
- Section 4:** Funding landscape, co-financing and sustainability
- Section 5:** Prioritized above allocation request

SECTION 1: CONTEXT

This section captures the relevant information on the context. Attach and refer to key documentation critical for justifying the choice of transition-related interventions requested to be financed by the Global Fund in section 2 of this application. To respond, refer to additional guidance provided in the Instructions.

1.1 Summary of context

With specific reference to sections of the National Strategic Plan (NSP) and focusing on transition related gaps highlighted (where relevant) in the Sustainability Strategy, Transition Strategy, Transition Work-Plan, and/or other assessments regarding sustainability and transition, provide a summary of the following:

- a) Epidemiological context
- b) Program context
- c) Key transition gaps and challenges
- d) Engagement of stakeholders

(maximum 3 pages)

[Applicant response]:

1.2 Past implementation and lessons-learned from Global Fund grants and other donor investments

- a) List previous Global Fund grants under the 2014-16 allocation period and summarize the key findings and lessons learned that relate to the sustainability of the national disease response and the challenges and/or priority areas that need to be addressed during transition.
- b) Outline lessons learned related to sustainability and transition from investments by other donors as applicable. Explain how these lessons learned, including challenges, are taken into account in this funding request.

(maximum 1 page per disease component)

[Applicant response]:

SECTION 2: FUNDING REQUEST

This section details the applicant's funding request and should be consistent with the Transition Work-Plan and/or the transition approach of the National Strategic Plan/National Health Plan and/or equivalent.

Applicants when elaborating their funding request must also consider the focus of application requirement.^[1]

Attach the Transition Work-Plan, Funding Landscape Table(s), Budget, Work Plan Tracking Measures section of the Performance Framework and, as applicable, Programmatic Gap Table(s).

To respond, refer to additional guidance provided in the Instructions.

2.1 Funding request

Given the context and lessons learned outlined in Section 1,

- a) Outline the interventions from the **Transition Work-Plan and/or National Strategic Plan** for which Global Fund funding is being requested;
- b) Provide the rationale behind the prioritization of these interventions;
- c) Describe how the interventions requested will support necessary actions to address the transition challenges previously described in this application and highlighted in the Transition Readiness Assessment and/or equivalent;
- d) Describe how any remaining gaps and transition activities not funded in the Transition Work-Plan will be addressed;
- e) In the event that the funding request includes service provision activities or recurrent costs associated to these, describe the process by which these activities will be financed with domestic sources of funding and/or absorbed by national authorities during the life of the grant.

(maximum 5 pages per disease component)

[Applicant response]:

^[1] Application Focus requirements for all country income categories is set out in the **Global Fund [Sustainability, Transition and Co-Financing Policy](#)** (Part 2).

SECTION 3: OPERATIONALIZATION AND RISK MITIGATION

This section describes the planned implementation arrangements for interventions and activities under the funding request as well as foreseen risks and proposed mitigation measures.

Applicants are encouraged to update their existing Implementation Arrangements Map. To respond, refer to additional guidance provided in the *Instructions*.

3.1 Implementation arrangements summary

- a) Provide an overview of high-level implementation arrangements explaining how the grant will be operationalized and implemented. In addition, describe how other actors outside of the principal recipient would be engaged in the oversight of this grant.
- b) Provide a rationale for why the selected Principal Recipient is the most appropriate entity to ensure successful implementation of this transition grant.
- c) In the event that the Principal Recipient includes a Program Management Unit (PMU) to manage grant funds embedded in a national government institution, the applicant should describe the essential functions of this PMU (e.g., procurement, contracting of non-state actors) and how the continuing responsibilities will be transferred to the national institution by the end of the grant period.
- d) If the nominated principal recipient is not a national institution, the applicant should describe how the PR's responsibilities pertaining to the national disease response will be transferred to national institutions in a timely manner and during the grant implementation period.

(maximum 2 page)

[Applicant response]:

3.2 Key implementation risks

Outline key risks foreseen during the implementation of the transition activities described in this funding request specifically taking into account that this is the final Global Fund grant (barring any major changes to eligibility). Note that these should also include any risks associated with the major challenges described in section 1 of the funding request. Each risk should include the risk mitigation measure(s) to be put in place to manage the risk to an acceptable level.

Applicant response in table below.

Risk Category (Functional area)	Key Risk	Mitigating actions	Timeline

Add rows for additional key risks as necessary

SECTION 4: FUNDING LANDSCAPE, CO-FINANCING AND SUSTAINABILITY

This section details trends in overall health financing, government financing of the Global Fund supported programs and national disease response as well as specific actions to support successful transition from Global Fund and other donor support.

Refer to **the Funding Landscape Table(s)** and documentation supporting as applicable.. To respond, refer to additional guidance provided in the Instructions.

4.1 Funding Landscape and Co-financing

- a) Referring to government commitments provided as part of the funding request submission for the previous Global Fund allocation, provide supporting documentation to demonstrate the extent to which these commitments have been met. If commitments have not been fully realized, provide reasons for the lower levels of government spending and an assessment of its impact on the program.
- b) Provide a justification if co-financing commitments do not meet minimum requirements to fully access the co-financing incentive, as set forth in the 'sustainability, transition and co-financing' policy.¹
- c) Specify how realization of co-financing commitments will be tracked and reported. Indicate if financing is requested through the current funding request for strengthening public finance management systems and/or institutionalization of expenditure tracking mechanisms such as National Health Accounts.

(maximum 2 pages)

[Applicant response]:

¹ [Sustainability, Transition and Co-Financing Policy](#).

4..2 Transition and Sustainability

- a) Describe how co-financing of Global Fund supported interventions will increase over the next implementation period, in particular:
- i. Clearly specify the interventions or activities that are expected to be financed by domestic resources that were previously financed by the Global Fund.
 - ii. How the national authorities or national health system will take up other key costs of national disease response that will allow for a successful transition and maintenance of impact beyond Global Fund financing.
 - iii. How co-financing commitments will address critical transition issues.
 - iv. If, applicable, describe how national authorities will work to attract and secure additional funding or new sources of finance to ensure sufficient support for key interventions.

(maximum 2 pages)

[Applicant response]:

5. Prioritized Above Allocation (PAAR)

To complete a PAAR, please fill-in the attached Excel template