

COVID-19 Response: Continued Principal Recipient Reporting

2 April 2020

The following flexibilities for submission timelines and minimum requirements for completion and validation of defined Progress Updates and Disbursement Requests (PU and PUDRs) are in place:

- **Cohort 1. PUs and PUDRs with reporting periods ending December 2019.**
The PU and PUDR full completion and validation as per the Global Fund guidelines are continued requirements for this cohort. A maximum of 30 additional days for submission, review and validation of PU/DRs is accepted. Final Global Fund validation deadlines are April 15th 2020 for PUs and May 7th for PUDRs respectively. The timelines will continue to be monitored and reported.
- **Cohort 2. PUs and PUDRs with reporting periods ending March, April and June 2020.**
The PU and PUDR minimum completion and validation requirements have been defined and are outlined below. Where feasible, the Global Fund requires the full data sets to be provided as per original guidelines. A maximum of 30 additional days for submission, review and validation of PU/DRs is accepted. Final Global Fund validation deadlines are: July 15th, August 15th and October 15th 2020 for the respective PUs and July 31st, August 31st and October 31st for the respective PUDRs and final PUs respectively. The timelines will continue to be monitored and reported.
- **Cohort 3. PUs and PUDRs with reporting periods ending September, November and December 2020.** The PU and PUDR full and timely completion, submission and validation per the Global Fund guidelines are continued requirements for this cohort – until further notice.

The COVID-19-specific PU and PUDR requirements, for reporting periods ending between March and June 2020, are outlined in the COVID-19 columns below:

Type	PU/DR Section	PU- HI / Core	PUDR - HI / Core / Focused	COVID-19 PU March - June 20	COVID-19 PU/DRs March - June 20
Programmatic	Impact Outcome Indicators	Yes	Yes	No	No
Programmatic	Disaggregation	Yes	Yes	No	No
Programmatic	Coverage Indicators	Yes	Yes	Yes	Yes
Programmatic	Disaggregation	Yes	Yes	Yes	Yes
Programmatic	WPTM	Yes	Yes	Yes	Yes
Financial	PR Cash reconciliation	-	Yes	-	Yes
Financial	Commitments/Obligations	Yes	Yes	No	Yes
Financial	SR Cash reconciliation	-	Yes	-	Yes
Financial	Budget Variance	-	Yes	-	No
Procurement	Procurement	Yes	Yes	Yes	Yes
Grant Management	Grant Management	Yes	Yes	Yes	Yes
Overall performance	PR-LFA Evaluation	Yes	Yes	Yes	Yes
Findings and recommendations	LFA Findings & Recommendations	Yes	Yes	Yes	Yes
Financial	PR Expenditure	Yes	Yes	Yes	Yes
Financial	LFA Expenditure	Yes	Yes	Yes	Yes
Financial	Cash forecast	Yes	Yes	No	Yes
Financial	Request and Recommendation	-	Yes	-	Yes
General	PR authorization	Yes	Yes	Yes	Yes
General	LFA authorization	Yes	Yes	Yes	Yes
Financial	Financial Triggers	-	Yes - only for Focused	-	Yes - only for Focused